

# Topological Sorting under Regular Constraints

---

Antoine Amarilli<sup>1</sup>, **Charles Paperman**<sup>2</sup>

December 7th, 2018

<sup>1</sup>Télécom ParisTech

<sup>2</sup>Université de Lille

# Constrained Topological Sorting

- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$

# Constrained Topological Sorting

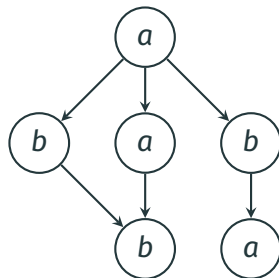
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$

# Constrained Topological Sorting

- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:

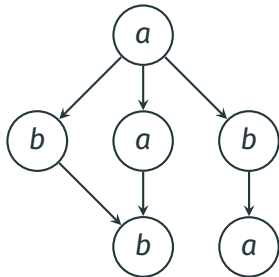
# Constrained Topological Sorting

- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG)  
with vertices labeled with  $\Sigma$



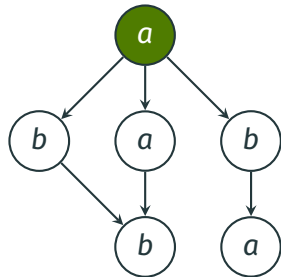
# Constrained Topological Sorting

- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



# Constrained Topological Sorting

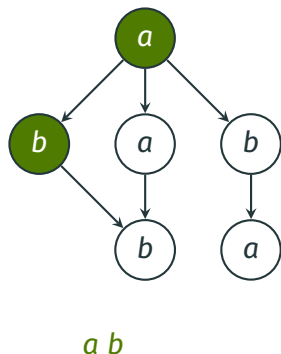
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



**a**

# Constrained Topological Sorting

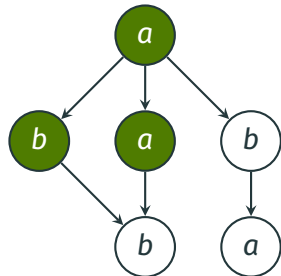
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?





# Constrained Topological Sorting

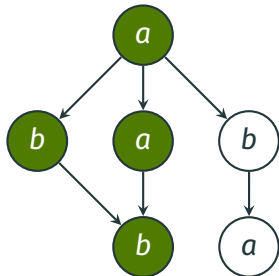
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



*a b a*

# Constrained Topological Sorting

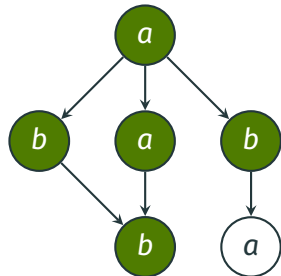
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



*a b a b*

# Constrained Topological Sorting

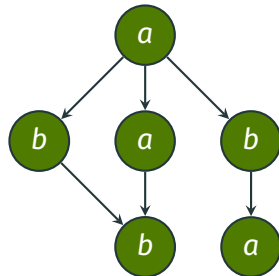
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



*a b a b b*

# Constrained Topological Sorting

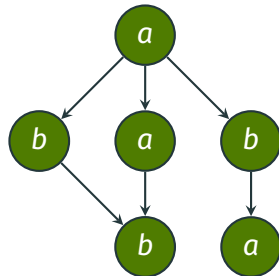
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



*a b a b b a*

# Constrained Topological Sorting

- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?

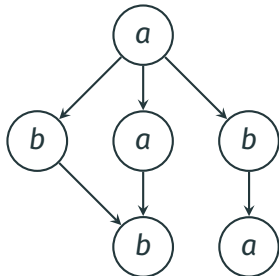


*a b a b b a*

... not in  $L$ !

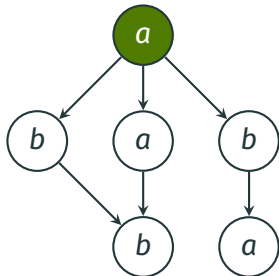
# Constrained Topological Sorting

- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



# Constrained Topological Sorting

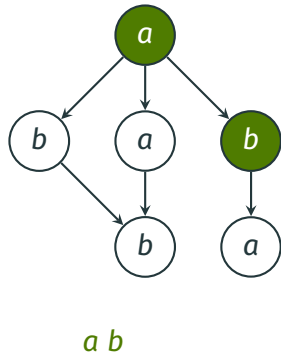
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



**a**

# Constrained Topological Sorting

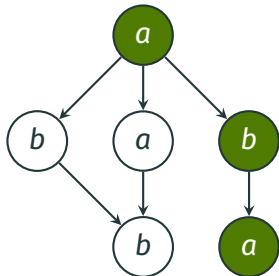
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?





# Constrained Topological Sorting

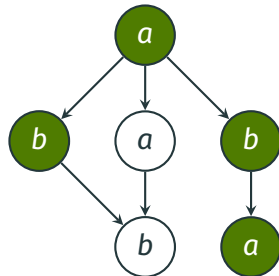
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



*a b a*

# Constrained Topological Sorting

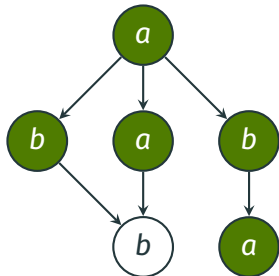
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



*a b a b*

# Constrained Topological Sorting

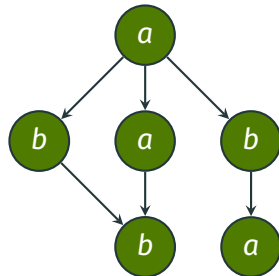
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



*a b a b a*

# Constrained Topological Sorting

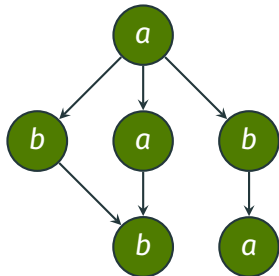
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



*a b a b a b*

# Constrained Topological Sorting

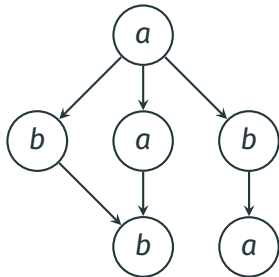
- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



$a b a b a b$   
... in  $L$ !

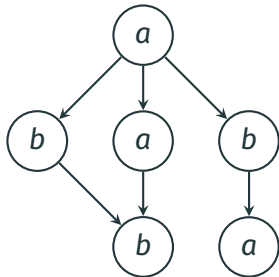
# Constrained Topological Sorting

- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input:** **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output:** is there a **topological sort** that falls in  $L$ ?



# Constrained Topological Sorting

- Fix an **alphabet**: e.g.,  $\Sigma = \{a, b\}$
- Fix a **language**: e.g.,  $L = (ab)^*$
- We study **constrained topological sorting**:
  - **Input**: **directed acyclic graph** (DAG) with vertices labeled with  $\Sigma$
  - **Output**: is there a **topological sort** that falls in  $L$ ?
- **Question**: when is this problem **tractable**?



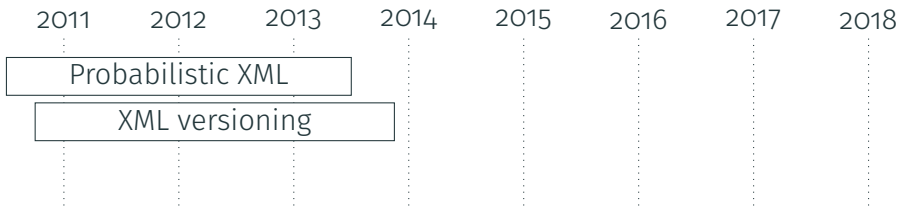
# Motivation

- How we **really ended up** studying this problem:



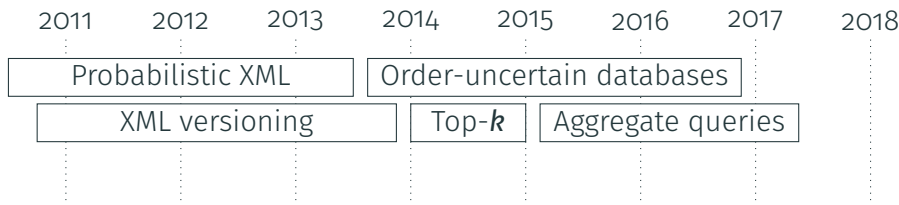
# Motivation

- How we **really ended up** studying this problem:



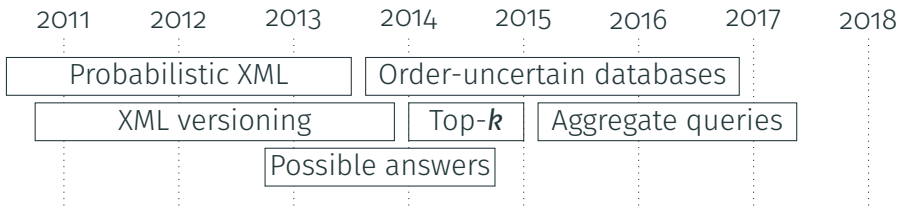
# Motivation

- How we **really ended up** studying this problem:



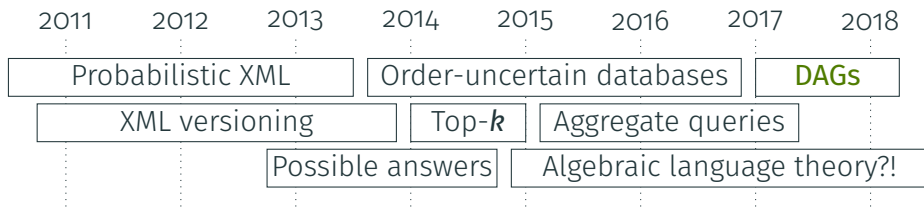
# Motivation

- How we **really ended up** studying this problem:



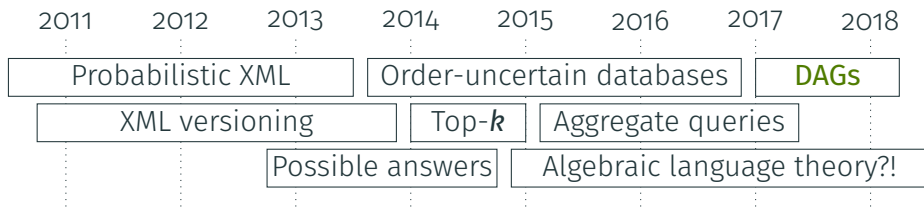
# Motivation

- How we **really ended up** studying this problem:



# Motivation

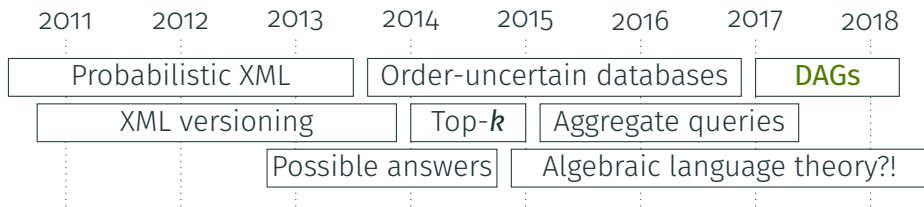
- How we **really ended up** studying this problem:



- Which **a-posteriori motivation** did we invent for the problem?

# Motivation

- How we **really ended up** studying this problem:

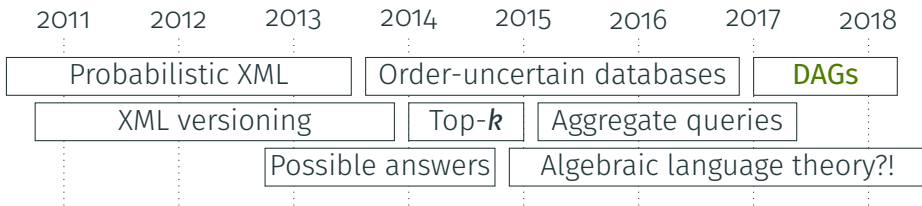


- Which **a-posteriori motivation** did we invent for the problem?

→ **Scheduling** with constraints!      → Verification for **concurrent code**!

# Motivation

- How we **really ended up** studying this problem:



- Which **a-posteriori motivation** did we invent for the problem?

→ **Scheduling** with constraints!

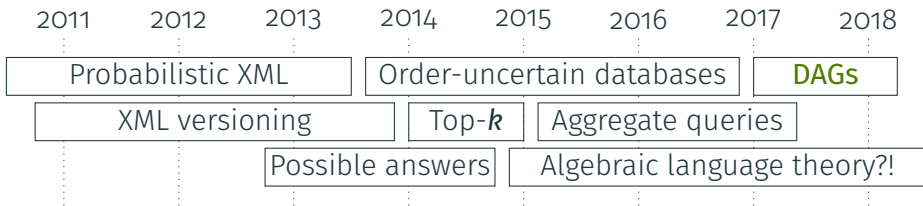
→ Verification for **concurrent code**!

→ **Computational biology**!

→ **Blockchain**!

# Motivation

- How we **really ended up** studying this problem:



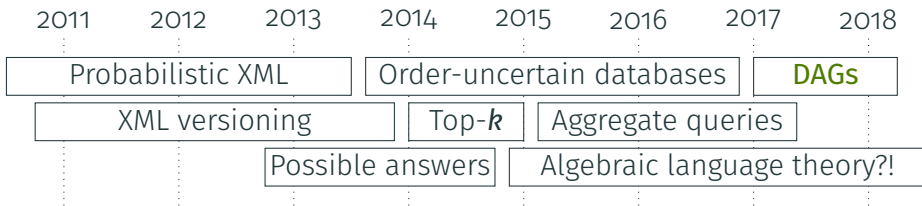
- Which **a-posteriori motivation** did we invent for the problem?

→ **Scheduling** with constraints!      → Verification for **concurrent code**!  
→ **Computational biology**!                      → **Blockchain!** (joke)



# Motivation

- How we **really ended up** studying this problem:



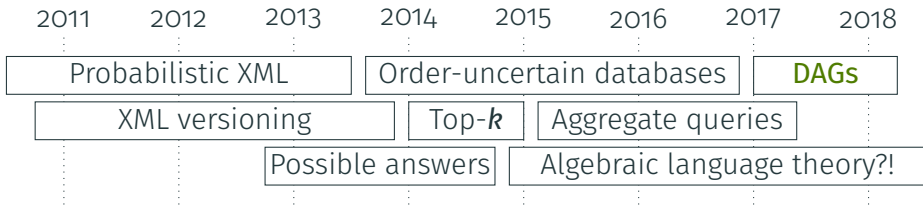
- Which **a-posteriori motivation** did we invent for the problem?

→ **Scheduling** with constraints!      → Verification for **concurrent code**!  
→ **Computational biology**!                      → **Blockchain**! (joke)

- But why do we **actually care**?

# Motivation

- How we **really ended up** studying this problem:



- Which **a-posteriori motivation** did we invent for the problem?

→ **Scheduling** with constraints!      → Verification for **concurrent code**!  
→ **Computational biology**!                      → **Blockchain**! (joke)

- But why do we **actually care**?

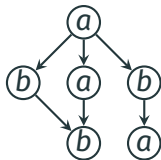
→ **Natural** problem and apparently **nothing** was known about it!

## Formal problem statement

- Fix a **regular language**  $L$  on an **finite alphabet**  $\Sigma$

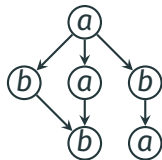
# Formal problem statement

- Fix a **regular language**  $L$  on an **finite alphabet**  $\Sigma$
- **Constrained topological sort** problem  $\text{CTS}(L)$ :
  - **Input:** a **DAG**  $G$  with vertices labeled by letters of  $\Sigma$
  - **Output:** is there a **topological sort** of  $G$  such that the sequence of vertex labels is a **word** of  $L$



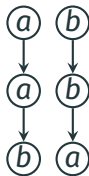
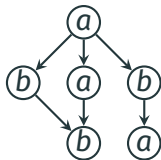
# Formal problem statement

- Fix a **regular language**  $L$  on an **finite alphabet**  $\Sigma$
- **Constrained topological sort** problem  $\text{CTS}(L)$ :
  - **Input:** a **DAG**  $G$  with vertices labeled by letters of  $\Sigma$
  - **Output:** is there a **topological sort** of  $G$  such that the sequence of vertex labels is a **word** of  $L$
- Special case: the **constrained shuffle problem**  $\text{CSh}(L)$ :
  - **Input:** a set of **words**  $w_1, \dots, w_n$  of  $\Sigma^*$
  - **Output:** is there a **shuffle** of  $w_1, \dots, w_n$  which is in  $L$



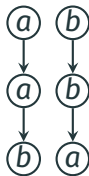
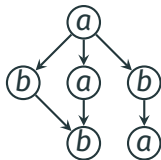
# Formal problem statement

- Fix a **regular language**  $L$  on an **finite alphabet**  $\Sigma$
- Constrained topological sort** problem  $\text{CTS}(L)$ :
  - Input:** a **DAG**  $G$  with vertices labeled by letters of  $\Sigma$
  - Output:** is there a **topological sort** of  $G$  such that the sequence of vertex labels is a **word** of  $L$
- Special case: the **constrained shuffle problem**  $\text{CSh}(L)$ :
  - Input:** a set of **words**  $w_1, \dots, w_n$  of  $\Sigma^*$
  - Output:** is there a **shuffle** of  $w_1, \dots, w_n$  which is in  $L$
- This is like **CTS** but the input DAG is an **union of paths**



# Formal problem statement

- Fix a **regular language**  $L$  on an **finite alphabet**  $\Sigma$
  - **Constrained topological sort** problem  $\text{CTS}(L)$ :
    - **Input:** a **DAG**  $G$  with vertices labeled by letters of  $\Sigma$
    - **Output:** is there a **topological sort** of  $G$  such that the sequence of vertex labels is a **word** of  $L$
  - Special case: the **constrained shuffle problem**  $\text{CSh}(L)$ :
    - **Input:** a set of **words**  $w_1, \dots, w_n$  of  $\Sigma^*$
    - **Output:** is there a **shuffle** of  $w_1, \dots, w_n$  which is in  $L$
  - This is like **CTS** but the input DAG is an **union of paths**
- **Question:** What is the **complexity** of  $\text{CTS}(L)$  and  $\text{CSh}(L)$ , depending on the fixed language  $L$ ?



# Dichotomy

For every *regular language*  $L$ , exactly one of the following holds:

- $L$  has [some nice property] and  $\text{CTS}(L)$  is *in NL*
- $L$  has [some nasty property] and  $\text{CTS}(L)$  is *NP-hard*



# Dichotomy Conjecture

## Conjecture

For every **regular language**  $L$ , exactly one of the following holds:

- $L$  has [some nice property] and  $\text{CTS}(L)$  is **in NL**
- $L$  has [some nasty property] and  $\text{CTS}(L)$  is **NP-hard**



# Dichotomy Conjecture

## Conjecture

For every **regular language**  $L$ , exactly one of the following holds:

- $L$  has [some nice property] and  $\text{CTS}(L)$  is **in NL**
- $L$  has [some nasty property] and  $\text{CTS}(L)$  is **NP-hard**



Here's what we actually know:

- $\text{CTS}$  and  $\text{CSh}$  are **NP-hard** for some languages, including  $(ab)^*$

# Dichotomy Conjecture

## Conjecture

For every **regular language**  $L$ , exactly one of the following holds:

- $L$  has [some nice property] and  $\text{CTS}(L)$  is **in NL**
- $L$  has [some nasty property] and  $\text{CTS}(L)$  is **NP-hard**



Here's what we actually know:

- $\text{CTS}$  and  $\text{CSh}$  are **NP-hard** for some languages, including  $(ab)^*$
- They are **in NL** for **some language families** (monomials, groups)

# Dichotomy Conjecture

## Conjecture

For every **regular language**  $L$ , exactly one of the following holds:

- $L$  has [some nice property] and  $\text{CTS}(L)$  is **in NL**
- $L$  has [some nasty property] and  $\text{CTS}(L)$  is **NP-hard**



Here's what we actually know:

- $\text{CTS}$  and  $\text{CSh}$  are **NP-hard** for some languages, including  $(ab)^*$
- They are **in NL** for **some language families** (monomials, groups)
- Some languages are tractable for **seemingly unrelated** reasons

# Dichotomy Conjecture

## Conjecture

For every **regular language**  $L$ , exactly one of the following holds:

- $L$  has [some nice property] and  $\text{CTS}(L)$  is **in NL**
- $L$  has [some nasty property] and  $\text{CTS}(L)$  is **NP-hard**



Here's what we actually know:

- $\text{CTS}$  and  $\text{CSh}$  are **NP-hard** for some languages, including  $(ab)^*$
  - They are **in NL** for **some language families** (monomials, groups)
  - Some languages are tractable for **seemingly unrelated** reasons
- **Very mysterious landscape!** (to us)

## Hardness Results

---

JOURNAL OF COMPUTER AND SYSTEM SCIENCES **28**, 345–358 (1984)

### On the Complexity of Iterated Shuffle\*

MANFRED K. WARMUTH<sup>†</sup> AND DAVID HAUSSLER<sup>‡</sup>

*Department of Computer Science,  
University of Colorado, Boulder, Colorado 80309*

It is demonstrated that the following problems are *NP* complete:

- (1) Given words  $w$  and  $w_1, w_2, \dots, w_n$ , is  $w$  in the shuffle of  $w_1, w_2, \dots, w_n$ ?

JOURNAL OF COMPUTER AND SYSTEM SCIENCES **28**, 345–358 (1984)

### On the Complexity of Iterated Shuffle\*

MANFRED K. WARMUTH<sup>†</sup> AND DAVID HAUSSLER<sup>‡</sup>

*Department of Computer Science,  
University of Colorado, Boulder, Colorado 80309*

It is demonstrated that the following problems are *NP* complete:

- (1) Given words  $w$  and  $w_1, w_2, \dots, w_n$ , is  $w$  in the shuffle of  $w_1, w_2, \dots, w_n$ ?

... but the target is a **word** which is **provided as input!**



JOURNAL OF COMPUTER AND SYSTEM SCIENCES **28**, 345–358 (1984)

### On the Complexity of Iterated Shuffle\*

MANFRED K. WARMUTH<sup>†</sup> AND DAVID HAUSSLER<sup>‡</sup>

*Department of Computer Science,  
University of Colorado, Boulder, Colorado 80309*

It is demonstrated that the following problems are *NP* complete:

- (1) Given words  $w$  and  $w_1, w_2, \dots, w_n$ , is  $w$  in the shuffle of  $w_1, w_2, \dots, w_n$ ?

... but the target is a **word** which is **provided as input!**

→ Does not directly apply for us, because we **fix** the target language

# Hardness for CTS

- We can reduce their problem to CSh for the language  $(aA + bB)^*$
- To determine if the shuffle of  $aab$  and  $bb$  contains  $ababb$  ...

# Hardness for CTS

- We can reduce their problem to CSh for the language  $(aA + bB)^*$
- To determine if the shuffle of  $aab$  and  $bb$  contains  $ababb$  ...  
solve the CSh-problem for  $aab$  and  $bb$  and  $ABABB$

# Hardness for CTS

- We can reduce their problem to CSh for the language  $(aA + bB)^*$
  - To determine if the shuffle of  $aab$  and  $bb$  contains  $ababb$  ...  
solve the CSh-problem for  $aab$  and  $bb$  and  $ABABB$
- CSh( $(aA + bB)^*$ ) is NP-hard and the same holds for CTS

# Hardness for CTS

- We can reduce their problem to CSh for the language  $(aA + bB)^*$
  - To determine if the shuffle of  $aab$  and  $bb$  contains  $ababb$  ...  
solve the CSh-problem for  $aab$  and  $bb$  and  $ABABB$
- CSh( $(aA + bB)^*$ ) is NP-hard and the same holds for CTS
- Similar technique: CSh( $(ab)^*$ ) is NP-hard

# Hardness for CTS

- We can reduce their problem to CSh for the language  $(aA + bB)^*$
  - To determine if the shuffle of  $aab$  and  $bb$  contains  $ababb$  ... solve the CSh-problem for  $aab$  and  $bb$  and  $ABABB$
- CSh( $(aA + bB)^*$ ) is NP-hard and the same holds for CTS
- Similar technique: CSh( $(ab)^*$ ) is NP-hard
  - Custom reduction technique to show NP-hardness

# The reduction technique

- Say we want to solve CTS for  $(ab)^*$  (NP-hard)

# The reduction technique

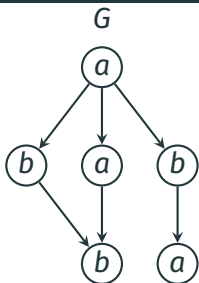
- Say we want to solve CTS for  $(ab)^*$  (**NP-hard**)
- Say we know how to solve CTS for  $(abc)^*$



# The reduction technique

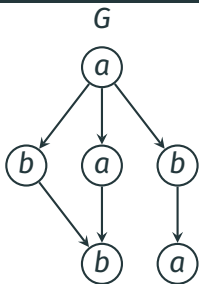
- Say we want to solve CTS for  $(ab)^*$  (NP-hard)
- Say we know how to solve CTS for  $(abc)^*$
- Take an instance  $G$  for  $(ab)^*$ , with  $2n$  vertices

# The reduction technique



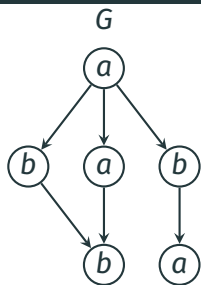
- Say we want to solve CTS for  $(ab)^*$  (**NP-hard**)
- Say we know how to solve CTS for  $(abc)^*$
- Take an **instance**  $G$  for  $(ab)^*$ , with  $2n$  vertices

# The reduction technique



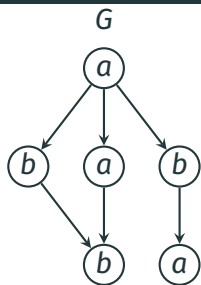
- Say we want to solve CTS for  $(ab)^*$  (**NP-hard**)
- Say we know how to solve CTS for  $(abc)^*$
- Take an **instance**  $G$  for  $(ab)^*$ , with  $2n$  vertices
- Add the **path**  $P$ :  $(bcac)^n$

# The reduction technique



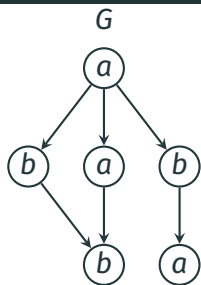
- Say we want to solve CTS for  $(ab)^*$  (**NP-hard**)
- Say we know how to solve CTS for  $(abc)^*$
- Take an **instance**  $G$  for  $(ab)^*$ , with  $2n$  vertices
- Add the **path**  $P$ :  $(bcac)^n$

# The reduction technique



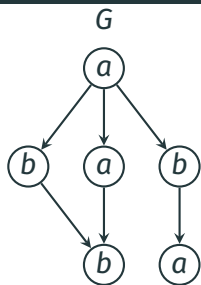
- Say we want to solve CTS for  $(ab)^*$  (**NP-hard**)
- Say we know how to solve CTS for  $(abc)^*$
- Take an **instance**  $G$  for  $(ab)^*$ , with  $2n$  vertices
- Add the **path**  $P$ :  $(bcac)^n$
- A topsort of  $G \cup P$  **achieving**  $(abc)^*$  gives a topsort of  $G$  **achieving**  $(ab)^*$

# The reduction technique



- Say we want to solve CTS for  $(ab)^*$  (**NP-hard**)
- Say we know how to solve CTS for  $(abc)^*$
- Take an **instance**  $G$  for  $(ab)^*$ , with  $2n$  vertices
- Add the **path**  $P$ :  $(bcac)^n$
- A topsort of  $G \cup P$  **achieving**  $(abc)^*$  gives a topsort of  $G$  **achieving**  $(ab)^*$
- Conversely, any topsort of  $G$  **achieving**  $(ab)^*$  extends to a topsort of  $G + P$  **achieving**  $(abc)^*$

# The reduction technique



- Say we want to solve CTS for  $(ab)^*$  (**NP-hard**)
- Say we know how to solve CTS for  $(abc)^*$
- Take an **instance**  $G$  for  $(ab)^*$ , with  $2n$  vertices
- Add the **path**  $P$ :  $(bcac)^n$
- A topsort of  $G \cup P$  **achieving**  $(abc)^*$  gives a topsort of  $G$  **achieving**  $(ab)^*$
- Conversely, any topsort of  $G$  **achieving**  $(ab)^*$  extends to a topsort of  $G + P$  **achieving**  $(abc)^*$
- Hence,  $\text{CTS}((abc)^*)$  is **NP-hard**

# Formalizing the reduction

## Definition

A language  $L$  **shuffle-reduces** to a language  $L'$  if, given any  $n$  in unary, we can compute in PTIME a word  $P_i$  having the following property:



# Formalizing the reduction

## Definition

A language  $L$  **shuffle-reduces** to a language  $L'$  if, given any  $n$  in unary, we can compute in PTIME a word  $P_i$  having the following property:  
for any word  $w$  of length  $n$ , we have  $w \in L$   
iff the shuffle of  $w$  and  $P_i$  contains a word of  $L'$ .

# Formalizing the reduction

## Definition

A language  $L$  **shuffle-reduces** to a language  $L'$  if, given any  $n$  in unary, we can compute in PTIME a word  $P_i$  having the following property:  
for any word  $w$  of length  $n$ , we have  $w \in L$   
iff the shuffle of  $w$  and  $P_i$  contains a word of  $L'$ .

## Theorem

*If  $L$  shuffle-reduces to  $L'$  then:*

- $CSh(L)$  reduces in PTIME to  $CSh(L')$
- $CTS(L)$  reduces in PTIME to  $CTS(L')$

## Other hard languages

- The reduction shows **hardness** for:
  - $(ab + b)^*$  (also simpler argument)
  - $(aa + bb)^*$  with  $P_{2i} = (ab)^i$
  - $u^*$  if  $u$  contains two different letters

## Other hard languages

- The reduction shows **hardness** for:
  - $(ab + b)^*$  (also simpler argument)
  - $(aa + bb)^*$  with  $P_{2i} = (ab)^i$
  - $u^*$  if  $u$  contains two different letters
- **Conjecture:** if  $F$  is finite then  $\text{CTS}(F^*)$  is **NP-hard** unless it contains a power of each of its letters

## Other hard languages

- The reduction shows **hardness** for:
  - $(ab + b)^*$  (also simpler argument)
  - $(aa + bb)^*$  with  $P_{2i} = (ab)^i$
  - $u^*$  if  $u$  contains two different letters
- **Conjecture:** if  $F$  is finite then  $\text{CTS}(F^*)$  is **NP-hard** unless it contains a power of each of its letters
  - Idea: reason about **consumption rates** of letters?

## Other hard languages

- The reduction shows **hardness** for:
  - $(ab + b)^*$  (also simpler argument)
  - $(aa + bb)^*$  with  $P_{2i} = (ab)^i$
  - $u^*$  if  $u$  contains two different letters
- **Conjecture:** if  $F$  is finite then  $\text{CTS}(F^*)$  is **NP-hard** unless it contains a power of each of its letters
  - Idea: reason about **consumption rates** of letters?
  - Not even **complete** for  $F^*$  languages, as  $(aa + bb)^*$  is **NP-hard**

# Tractability Results

---

# Tractability for Monomials

- **Monomial:** language of the form  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- **Union of monomials:** union of finitely many such languages



# Tractability for Monomials

- **Monomial:** language of the form  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- **Union of monomials:** union of finitely many such languages
  - **Example:** pattern matching  $\Sigma^* \text{word1} \Sigma^* + \Sigma^* \text{word2} \Sigma^*$

# Tractability for Monomials

- **Monomial:** language of the form  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- **Union of monomials:** union of finitely many such languages
  - **Example:** pattern matching  $\Sigma^* \text{word1} \Sigma^* + \Sigma^* \text{word2} \Sigma^*$
  - **Logical interpretation:** languages definable in  $\Sigma_2[<]$

# Tractability for Monomials

- **Monomial:** language of the form  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$  where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- **Union of monomials:** union of finitely many such languages
  - **Example:** pattern matching  $\Sigma^* \text{word1} \Sigma^* + \Sigma^* \text{word2} \Sigma^*$
  - **Logical interpretation:** languages definable in  $\Sigma_2[<]$

## Theorem

For any union of monomials  $L$ , the problem  $\text{CTS}(L)$  is **in NL**

# Proof Idea for Monomials

- Tractable languages are clearly **closed under union**  
so it suffices to consider a monomial:  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$

# Proof Idea for Monomials

- Tractable languages are clearly **closed under union**  
so it suffices to consider a monomial:  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- We can **guess** the positions of the individual  $a_i$

# Proof Idea for Monomials

- Tractable languages are clearly **closed under union**  
so it suffices to consider a monomial:  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- We can **guess** the positions of the individual  $a_i$
- Check that the other vertices **can fit** in the  $A_i^*$  (uses NL = co-NL)

# Proof Idea for Monomials

- Tractable languages are clearly **closed under union**  
so it suffices to consider a monomial:  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- We can **guess** the positions of the individual  $a_i$
- Check that the other vertices **can fit** in the  $A_i^*$  (uses NL = co-NL)
  - Check that the **descendants** of  $a_n$  are all in  $A_{n+1}$

# Proof Idea for Monomials

- Tractable languages are clearly **closed under union**  
so it suffices to consider a monomial:  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- We can **guess** the positions of the individual  $a_i$
- Check that the other vertices **can fit** in the  $A_i^*$  (uses NL = co-NL)
  - Check that the **descendants** of  $a_n$  are all in  $A_{n+1}$
  - Find the vertices that **must** be enumerated before  $a_n$



# Proof Idea for Monomials

- Tractable languages are clearly **closed under union**  
so it suffices to consider a monomial:  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- We can **guess** the positions of the individual  $a_i$
- Check that the other vertices **can fit** in the  $A_i^*$  (uses NL = co-NL)
  - Check that the **descendants** of  $a_n$  are all in  $A_{n+1}$
  - Find the vertices that **must** be enumerated before  $a_n$ 
    - The **ancestors** of the  $a_i$

# Proof Idea for Monomials

- Tractable languages are clearly **closed under union**  
so it suffices to consider a monomial:  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- We can **guess** the positions of the individual  $a_i$
- Check that the other vertices **can fit** in the  $A_i^*$  (uses NL = co-NL)
  - Check that the **descendants** of  $a_n$  are all in  $A_{n+1}$
  - Find the vertices that **must** be enumerated before  $a_n$ 
    - The **ancestors** of the  $a_i$
    - The **ancestors** of vertices with a letter **not in**  $A_{n+1}$

# Proof Idea for Monomials

- Tractable languages are clearly **closed under union**  
so it suffices to consider a monomial:  $A_1^* a_1 A_2^* a_2 \cdots A_n^* a_n A_{n+1}^*$   
where  $a_1, \dots, a_n \in \Sigma$  and  $A_1, \dots, A_{n+1} \subseteq \Sigma$
- We can **guess** the positions of the individual  $a_i$
- Check that the other vertices **can fit** in the  $A_i^*$  (uses NL = co-NL)
  - Check that the **descendants** of  $a_n$  are all in  $A_{n+1}$
  - Find the vertices that **must** be enumerated before  $a_n$ 
    - The **ancestors** of the  $a_i$
    - The **ancestors** of vertices with a letter **not in**  $A_{n+1}$
  - Inductively solve the problem for these vertices and  
 $A_1^* a_1 A_2^* a_2 \cdots A_n^*$

# The Algebraic Approach

Can we just study **algebraically** the tractable languages?

# The Algebraic Approach Fails

Can we just study **algebraically** the tractable languages? **Not really...**

# The Algebraic Approach Fails

Can we just study **algebraically** the tractable languages? **Not really...**

- Not closed under **intersection**
- Not closed under **complement**
- Not closed under **inverse morphism**
- Not closed under **concatenation**  
(not in paper, only known for CTS)
- For CSh: not closed under **quotient**



## Side Remark: CTS and CSh are Different

Consider the language  $L = b\Sigma^* + aa\Sigma^* + (ab)^*$

## Side Remark: CTS and CSh are Different

Consider the language  $L = b\Sigma^* + aa\Sigma^* + (ab)^*$

- CTS( $L$ ) is **NP-hard** because  $(ab)^{-1}L = (ab)^*$



## Side Remark: CTS and CSh are Different

Consider the language  $L = b\Sigma^* + aa\Sigma^* + (ab)^*$

- CTS( $L$ ) is **NP-hard** because  $(ab)^{-1}L = (ab)^*$
- CSh( $L$ ) is **in NL**: trivial if there is more than one word

## Side Remark: CTS and CSh are Different

Consider the language  $L = b\Sigma^* + aa\Sigma^* + (ab)^*$

- $\text{CTS}(L)$  is **NP-hard** because  $(ab)^{-1}L = (ab)^*$
- $\text{CSh}(L)$  is **in NL**: trivial if there is more than one word

Hence, some languages are **tractable** for CSh and **hard** for CTS

## Tractability Based on Width

- $\text{CSh}(L)$  is in NL for any regular language  $L$  if we assume that there are at most  $k$  input words  $w_1, \dots, w_k$  for a constant  $k \in \mathbb{N}$

# Tractability Based on Width

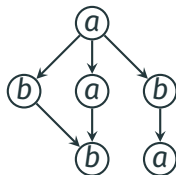
- $\text{CSh}(L)$  is in NL for any regular language  $L$  if we assume that there are at most  $k$  input words  $w_1, \dots, w_k$  for a constant  $k \in \mathbb{N}$ 
  - Need  $k$  counters to remember the current position in each word, plus automaton state

# Tractability Based on Width

- $\text{CSh}(L)$  is in NL for any regular language  $L$  if we assume that there are at most  $k$  input words  $w_1, \dots, w_k$  for a constant  $k \in \mathbb{N}$ 
  - Need  $k$  counters to remember the current position in each word, plus automaton state
- $\text{CTS}(L)$  is in NL for any regular language  $L$  if the input DAG  $G$  has width  $\leq k$  for constant  $k \in \mathbb{N}$

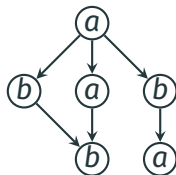
# Tractability Based on Width

- $\text{CSh}(L)$  is in NL for any regular language  $L$  if we assume that there are at most  $k$  input words  $w_1, \dots, w_k$  for a constant  $k \in \mathbb{N}$ 
  - Need  $k$  counters to remember the current position in each word, plus automaton state
- $\text{CTS}(L)$  is in NL for any regular language  $L$  if the input DAG  $G$  has width  $\leq k$  for constant  $k \in \mathbb{N}$



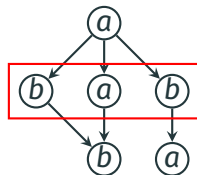
# Tractability Based on Width

- $\text{CSh}(L)$  is in NL for any regular language  $L$  if we assume that there are at most  $k$  input words  $w_1, \dots, w_k$  for a constant  $k \in \mathbb{N}$ 
  - Need  $k$  counters to remember the current position in each word, plus automaton state
- $\text{CTS}(L)$  is in NL for any regular language  $L$  if the input DAG  $G$  has width  $\leq k$  for constant  $k \in \mathbb{N}$ 
  - **Width:** size of the largest antichain (subset of pairwise incomparable vertices)



# Tractability Based on Width

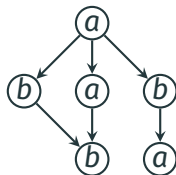
- $\text{CSh}(L)$  is in NL for any regular language  $L$  if we assume that there are at most  $k$  input words  $w_1, \dots, w_k$  for a constant  $k \in \mathbb{N}$ 
  - Need  $k$  counters to remember the current position in each word, plus automaton state
- $\text{CTS}(L)$  is in NL for any regular language  $L$  if the input DAG  $G$  has width  $\leq k$  for constant  $k \in \mathbb{N}$ 
  - **Width:** size of the largest antichain (subset of pairwise incomparable vertices)





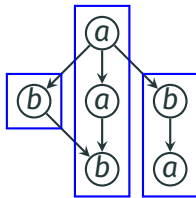
# Tractability Based on Width

- $\text{CSh}(L)$  is in NL for any regular language  $L$  if we assume that there are at most  $k$  input words  $w_1, \dots, w_k$  for a constant  $k \in \mathbb{N}$ 
  - Need  $k$  counters to remember the current position in each word, plus automaton state
- $\text{CTS}(L)$  is in NL for any regular language  $L$  if the input DAG  $G$  has width  $\leq k$  for constant  $k \in \mathbb{N}$ 
  - **Width**: size of the largest antichain (subset of pairwise incomparable vertices)
  - Partition  $G$  in  $k$  chains (Dilworth's theorem), and conclude by NL algorithm



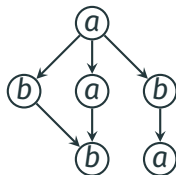
# Tractability Based on Width

- $\text{CSh}(L)$  is in NL for any regular language  $L$  if we assume that there are at most  $k$  input words  $w_1, \dots, w_k$  for a constant  $k \in \mathbb{N}$ 
  - Need  $k$  counters to remember the current position in each word, plus automaton state
- $\text{CTS}(L)$  is in NL for any regular language  $L$  if the input DAG  $G$  has width  $\leq k$  for constant  $k \in \mathbb{N}$ 
  - **Width**: size of the largest antichain (subset of pairwise incomparable vertices)
  - Partition  $G$  in  $k$  chains (Dilworth's theorem), and conclude by NL algorithm



# Tractability Based on Width

- $\text{CSh}(L)$  is in NL for any regular language  $L$  if we assume that there are at most  $k$  input words  $w_1, \dots, w_k$  for a constant  $k \in \mathbb{N}$ 
  - Need  $k$  counters to remember the current position in each word, plus automaton state
- $\text{CTS}(L)$  is in NL for any regular language  $L$  if the input DAG  $G$  has width  $\leq k$  for constant  $k \in \mathbb{N}$ 
  - **Width**: size of the largest antichain (subset of pairwise incomparable vertices)
  - Partition  $G$  in  $k$  chains (Dilworth's theorem), and conclude by NL algorithm



→ These results are making an additional assumption, but...

## Tractability Based on Width (2)

- Fix  $\Sigma = \{a, b\}$ , take any regular language  $L$  and constant  $k \in \mathbb{N}$ , we know that CTS is in NL for  $L + \Sigma^*(a^k + b^k)\Sigma^*$

## Tractability Based on Width (2)

- Fix  $\Sigma = \{a, b\}$ , take any regular language  $L$  and constant  $k \in \mathbb{N}$ , we know that CTS is in NL for  $L + \Sigma^*(a^k + b^k)\Sigma^*$ 
  - If the input DAG has width  $< 2k$ , use the result for bounded width

## Tractability Based on Width (2)

- Fix  $\Sigma = \{a, b\}$ , take any regular language  $L$  and constant  $k \in \mathbb{N}$ , we know that CTS is in NL for  $L + \Sigma^*(a^k + b^k)\Sigma^*$ 
  - If the input DAG has width  $< 2k$ , use the result for bounded width
  - Otherwise we can achieve  $a^k$  or  $b^k$  with a large antichain

## Tractability Based on Width (2)

- Fix  $\Sigma = \{a, b\}$ , take any regular language  $L$  and constant  $k \in \mathbb{N}$ , we know that CTS is **in NL** for  $L + \Sigma^*(a^k + b^k)\Sigma^*$ 
    - If the input DAG has width  $< 2k$ , use the **result for bounded width**
    - Otherwise we can achieve  $a^k$  or  $b^k$  with a **large antichain**
  - A similar technique shows that  $(ab)^* + \Sigma^*aa\Sigma^*$  is **tractable**
- Does it suffice to bound the width of **all letters but one**?

## Tractability Based on Width (2)

- Fix  $\Sigma = \{a, b\}$ , take any regular language  $L$  and constant  $k \in \mathbb{N}$ , we know that CTS is **in NL** for  $L + \Sigma^*(a^k + b^k)\Sigma^*$ 
    - If the input DAG has width  $< 2k$ , use the **result for bounded width**
    - Otherwise we can achieve  $a^k$  or  $b^k$  with a **large antichain**
  - A similar technique shows that  $(ab)^* + \Sigma^*aa\Sigma^*$  is **tractable**
- Does it suffice to bound the width of **all letters but one**?
- **Unknown** for  $L + \Sigma^*a^k\Sigma^*$  with arbitrary  $L$  and  $k > 2$ !  $\neg \_ (\_ \_) \_ /$



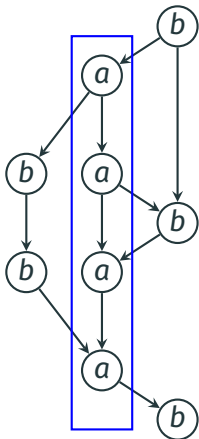
# An Annoying Open Problem

- Fix the alphabet  $\Sigma = \{a, b\}$

# An Annoying Open Problem

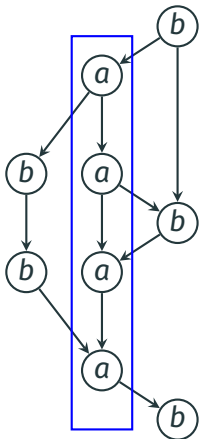
- Fix the alphabet  $\Sigma = \{a, b\}$
- Assume that the input DAG has **a-width 1**, i.e., there is a **total order** on the **a**-labeled elements

# An Annoying Open Problem



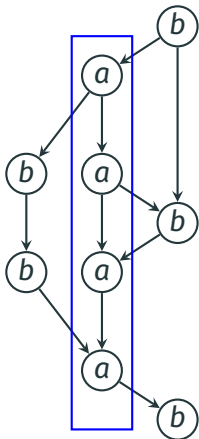
- Fix the alphabet  $\Sigma = \{a, b\}$
- Assume that the input DAG has **a-width 1**, i.e., there is a **total order** on the **a**-labeled elements

# An Annoying Open Problem



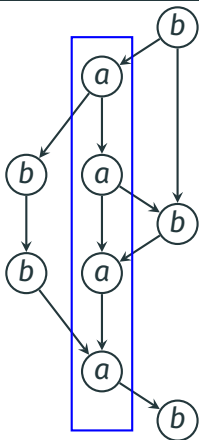
- Fix the alphabet  $\Sigma = \{a, b\}$
- Assume that the input DAG has **a-width 1**, i.e., there is a **total order** on the **a**-labeled elements
- Easy **greedy PTIME algorithm** for  $\text{CTS}((ab)^*)$ :

# An Annoying Open Problem



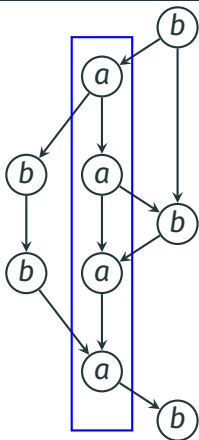
- Fix the alphabet  $\Sigma = \{a, b\}$
- Assume that the input DAG has **a-width 1**, i.e., there is a **total order** on the **a**-labeled elements
- Easy **greedy PTIME algorithm** for  $\text{CTS}((ab)^*)$ :
  - If we want an **a**, take the **next one** (no choice)

# An Annoying Open Problem



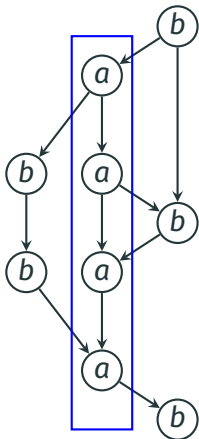
- Fix the alphabet  $\Sigma = \{a, b\}$
- Assume that the input DAG has **a-width 1**, i.e., there is a **total order** on the **a**-labeled elements
- Easy **greedy PTIME algorithm** for  $\text{CTS}((ab)^*)$ :
  - If we want an **a**, take the **next one** (no choice)
  - If we want a **b**, take an available **b**-vertex whose first **a**-descendant is as high as possible (idea: consume the **most blocking b**'s)

# An Annoying Open Problem



- Fix the alphabet  $\Sigma = \{a, b\}$
- Assume that the input DAG has **a-width 1**, i.e., there is a **total order** on the **a**-labeled elements
- Easy **greedy PTIME algorithm** for  $\text{CTS}((ab)^*)$ :
  - If we want an **a**, take the **next one** (no choice)
  - If we want a **b**, take an available **b**-vertex whose first **a**-descendant is as high as possible (idea: consume the **most blocking b's**)
- Should generalize to  $\text{CTS}(L)$  for any  $L$ ...

# An Annoying Open Problem

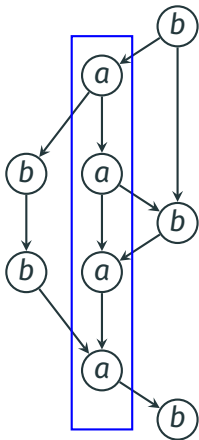


- Fix the alphabet  $\Sigma = \{a, b\}$
- Assume that the input DAG has **a-width 1**, i.e., there is a **total order** on the **a**-labeled elements
- Easy **greedy PTIME algorithm** for  $\text{CTS}((ab)^*)$ :
  - If we want an **a**, take the **next one** (no choice)
  - If we want a **b**, take an available **b**-vertex whose first **a**-descendant is as high as possible (idea: consume the **most blocking b's**)
- Should generalize to  $\text{CTS}(L)$  for any  $L$ ... right?!

~\_(ツ)\_~



# An Annoying Open Problem



- Fix the alphabet  $\Sigma = \{a, b\}$
- Assume that the input DAG has **a-width 1**, i.e., there is a **total order** on the **a**-labeled elements
- Easy **greedy PTIME algorithm** for  $\text{CTS}((ab)^*)$ :
  - If we want an **a**, take the **next one** (no choice)
  - If we want a **b**, take an available **b**-vertex whose first **a**-descendant is as high as possible (idea: consume the **most blocking b's**)
- Should generalize to  $\text{CTS}(L)$  for any  $L$ ... right?!

~\_(ツ)\_~

## Open problem

Fix  $\Sigma = \{a, b\}$  and an arbitrary regular language  $L$ . Given a DAG without two incomparable **a**'s, can you solve  $\text{CTS}(L)$ ?

# Tractability Based on the Structure of Groups

- **Group language:** the underlying monoid is a **finite group**
  - Automata where each letter **acts bijectively**

# Tractability Based on the Structure of Groups

- **Group language:** the underlying monoid is a **finite group**  
→ Automata where each letter **acts bijectively**
- **District group monomial:** language  $G_1 a_1 \cdots G_n a_n G_{n+1}$   
where  $a_1, \dots, a_n \in \Sigma$  and  $G_1, \dots, G_n$  are **group languages**  
on **subsets** of the alphabet  $\Sigma$

# Tractability Based on the Structure of Groups

- **Group language:** the underlying monoid is a **finite group**  
→ Automata where each letter **acts bijectively**
- **District group monomial:** language  $G_1 a_1 \cdots G_n a_n G_{n+1}$   
where  $a_1, \dots, a_n \in \Sigma$  and  $G_1, \dots, G_n$  are **group languages**  
on **subsets** of the alphabet  $\Sigma$

## Theorem

For any union  $L$  of district group monomials,  $\text{CSh}(L)$  is **in NL**

# Tractability Based on the Structure of Groups

- **Group language:** the underlying monoid is a **finite group**  
→ Automata where each letter **acts bijectively**
- **District group monomial:** language  $G_1 a_1 \cdots G_n a_n G_{n+1}$   
where  $a_1, \dots, a_n \in \Sigma$  and  $G_1, \dots, G_n$  are **group languages**  
on **subsets** of the alphabet  $\Sigma$

## Theorem

For any union  $L$  of district group monomials,  $\text{CSh}(L)$  is **in NL**

→ Only for **CSh**; complexity for **CTS** is **unknown!**



# Proof Structure for Groups

- By far the **most technical proof** of the paper

# Proof Structure for Groups

- By far the **most technical proof** of the paper
- From **district group monomials** to **group languages**:
  - Guess the **vertices** where the  $a_i$  are mapped
  - Guess (in succession) how each input word is **split**

# Proof Structure for Groups

- By far the **most technical proof** of the paper
- From **district group monomials** to **group languages**:
  - Guess the **vertices** where the  $a_i$  are mapped
  - Guess (in succession) how each input word is **split**
- For **groups**: distinguish the **rare** and **frequent** letters of  $\Sigma$



# Proof Structure for Groups

- By far the **most technical proof** of the paper
- From **district group monomials** to **group languages**:
  - Guess the **vertices** where the  $a_i$  are mapped
  - Guess (in succession) how each input word is **split**
- For **groups**: distinguish the **rare** and **frequent** letters of  $\Sigma$ 
  - Rare letters are in **constantly many strings**: NL algorithm on them
  - Frequent letters are in **enough strings** to generate anything

# Proof Structure for Groups

- By far the **most technical proof** of the paper
  - From **district group monomials** to **group languages**:
    - Guess the **vertices** where the  $a_i$  are mapped
    - Guess (in succession) how each input word is **split**
  - For **groups**: distinguish the **rare** and **frequent** letters of  $\Sigma$ 
    - Rare letters are in **constantly many strings**: NL algorithm on them
    - Frequent letters are in **enough strings** to generate anything
- Key (**CSh**): find an **antichain** with all frequent letters many times

# Proof Structure for Groups

- By far the **most technical proof** of the paper
- From **district group monomials** to **group languages**:
  - Guess the **vertices** where the  $a_i$  are mapped
  - Guess (in succession) how each input word is **split**
- For **groups**: distinguish the **rare** and **frequent** letters of  $\Sigma$ 
  - Rare letters are in **constantly many strings**: NL algorithm on them
  - Frequent letters are in **enough strings** to generate anything
  - Key (**CSH**): find an **antichain** with all frequent letters many times
- Two main challenges:
  - Even on frequent letters, we can only achieve all group elements up to **commutative information**
    - E.g., in a group  $G \times (\mathbb{Z}/2\mathbb{Z})$  with generators of the form  $(g_i, 1)$ , a large odd number of generators will never achieve  $(g, 0)$

# Proof Structure for Groups

- By far the **most technical proof** of the paper
- From **district group monomials** to **group languages**:
  - Guess the **vertices** where the  $a_i$  are mapped
  - Guess (in succession) how each input word is **split**
- For **groups**: distinguish the **rare** and **frequent** letters of  $\Sigma$ 
  - Rare letters are in **constantly many strings**: NL algorithm on them
  - Frequent letters are in **enough strings** to generate anything
  - Key (**CSH**): find an **antichain** with all frequent letters many times
- Two main challenges:
  - Even on frequent letters, we can only achieve all group elements up to **commutative information**
    - E.g., in a group  $G \times (\mathbb{Z}/2\mathbb{Z})$  with generators of the form  $(g_i, 1)$ , a large odd number of generators will never achieve  $(g, 0)$
    - **Antichain lemma**: Constantly many elements suffice to achieve anything in the spanned subgroup up to “commutative information”

# Proof Structure for Groups

- By far the **most technical proof** of the paper
- From **district group monomials** to **group languages**:
  - Guess the **vertices** where the  $a_i$  are mapped
  - Guess (in succession) how each input word is **split**
- For **groups**: distinguish the **rare** and **frequent** letters of  $\Sigma$ 
  - Rare letters are in **constantly many strings**: NL algorithm on them
  - Frequent letters are in **enough strings** to generate anything
  - Key (**CSH**): find an **antichain** with all frequent letters many times
- Two main challenges:
  - Even on frequent letters, we can only achieve all group elements up to **commutative information**
    - E.g., in a group  $G \times (\mathbb{Z}/2\mathbb{Z})$  with generators of the form  $(g_i, 1)$ , a large odd number of generators will never achieve  $(g, 0)$
    - **Antichain lemma**: Constantly many elements suffice to achieve anything in the spanned subgroup up to “commutative information”
  - When doing the NL algorithm on **rare letters**, constant bound on the number of **frequent letter** insertions

# Tractability Based on All Sorts of Strange Reasons

- $(aa + b)^*$  is **in NL** for CSh:

# Tractability Based on All Sorts of Strange Reasons

- $(aa + b)^*$  is **in NL** for CSh:
  - **Ad-hoc greedy algorithm:** consume string with most odd  $a$  blocks

# Tractability Based on All Sorts of Strange Reasons

- $(aa + b)^*$  is **in NL** for CSh:
  - **Ad-hoc greedy algorithm:** consume string with most odd  $a$  blocks
  - Complexity **open** for CTS!  $\neg\_(\_)\_$



# Tractability Based on All Sorts of Strange Reasons

- $(aa + b)^*$  is **in NL** for CSh:
  - **Ad-hoc greedy algorithm:** consume string with most odd  $a$  blocks
  - Complexity **open** for CTS!  $\neg\_(\text{ツ})\_/\neg$
  - Complexity **open** for  $(a^k + b)^*$  for  $k > 2$ !  $\neg\_(\text{ツ})\_/\neg$

# Tractability Based on All Sorts of Strange Reasons

- $(aa + b)^*$  is **in NL** for CSh:
  - **Ad-hoc greedy algorithm:** consume string with most odd  $a$  blocks
  - Complexity **open** for CTS!  $\neg\_(\text{ツ})\_/\neg$
  - Complexity **open** for  $(a^k + b)^*$  for  $k > 2$ !  $\neg\_(\text{ツ})\_/\neg$
  - What about **similar languages** like  $(aa + bb + ab)^*$ ?  $\neg\_(\text{ツ})\_/\neg$

# Tractability Based on All Sorts of Strange Reasons

- $(aa + b)^*$  is **in NL** for CSh:
  - **Ad-hoc greedy algorithm**: consume string with most odd  $a$  blocks
  - Complexity **open** for CTS!  $\neg\_(\text{ツ})\_ \neg$
  - Complexity **open** for  $(a^k + b)^*$  for  $k > 2$ !  $\neg\_(\text{ツ})\_ \neg$
  - What about **similar languages** like  $(aa + bb + ab)^*$ ?  $\neg\_(\text{ツ})\_ \neg$
- $(caa)^*d(cbb)^*d\Sigma^* + \Sigma^*cc\Sigma^*$  is **in NL** for CSh but **NP-hard** for CTS
  - Tractability argument: another **ad hoc greedy algorithm**
  - Hardness argument: from **k-clique** encoded to a **bipartite graph**

# **A Kind of Dichotomy**

---

## Prelude to the Kind of Dichotomy

- We were aiming for a **dichotomy**, but...  $\neg(\neg)$

## Prelude to the Kind of Dichotomy

- We were aiming for a **dichotomy**, but...  $\neg(\neg)$
- Let's try to make the problem **simpler**

# Prelude to the Kind of Dichotomy

- We were aiming for a **dichotomy**, but...  $\neg(\neg)$
- Let's try to make the problem **simpler**
- **Idea:** If we don't fix a **target language** but a language “family” then we can hope for a **coarser dichotomy**
- We can restrict to “families” closed under algebraic operations and go back to the algebraic approach

## A Kind of Dichotomy

- Fix a **semiautomaton**  $S = (Q, \Sigma, \delta)$  with  $Q$  the set of **states**, with  $\Sigma$  a **finite alphabet**, and with  $\delta$  the **transitions**.



## A Kind of Dichotomy

- Fix a **semiautomaton**  $S = (Q, \Sigma, \delta)$  with  $Q$  the set of **states**, with  $\Sigma$  a **finite alphabet**, and with  $\delta$  the **transitions**.

## A Kind of Dichotomy

- Fix a **semiautomaton**  $S = (Q, \Sigma, \delta)$  with  $Q$  the set of **states**, with  $\Sigma$  a **finite alphabet**, and with  $\delta$  the **transitions**.
- Idea: we will give in the input a **specification**, i.e., a set  $\{(i_1, F_1), \dots, (i_k, F_k)\}$  with  $(i_j, F_j) \in Q \times 2^Q$

# A Kind of Dichotomy

- Fix a **semiautomaton**  $S = (Q, \Sigma, \delta)$  with  $Q$  the set of **states**, with  $\Sigma$  a **finite alphabet**, and with  $\delta$  the **transitions**.
- Idea: we will give in the input a **specification**, i.e., a set  $\{(i_1, F_1), \dots, (i_k, F_k)\}$  with  $(i_j, F_j) \in Q \times 2^Q$ 
  - We specify the **initial** and **final** states (= closure by **quotient**)

# A Kind of Dichotomy

- Fix a **semiautomaton**  $S = (Q, \Sigma, \delta)$  with  $Q$  the set of **states**, with  $\Sigma$  a **finite alphabet**, and with  $\delta$  the **transitions**.
- Idea: we will give in the input a **specification**, i.e., a set  $\{(i_1, F_1), \dots, (i_k, F_k)\}$  with  $(i_j, F_j) \in Q \times 2^Q$ 
  - We specify the **initial** and **final** states (= closure by **quotient**)
  - We can **toggle** the final states (= closure by **complement**)

# A Kind of Dichotomy

- Fix a **semiautomaton**  $S = (Q, \Sigma, \delta)$  with  $Q$  the set of **states**, with  $\Sigma$  a **finite alphabet**, and with  $\delta$  the **transitions**.
- Idea: we will give in the input a **specification**, i.e., a set  $\{(i_1, F_1), \dots, (i_k, F_k)\}$  with  $(i_j, F_j) \in Q \times 2^Q$ 
  - We specify the **initial** and **final** states (= closure by **quotient**)
  - We can **toggle** the final states (= closure by **complement**)
  - We will do a **conjunction** over the  $(i_j, F_j)$  (= closure by **intersection**)

# A Kind of Dichotomy

- Fix a **semiautomaton**  $S = (Q, \Sigma, \delta)$  with  $Q$  the set of **states**, with  $\Sigma$  a **finite alphabet**, and with  $\delta$  the **transitions**.
- Idea: we will give in the input a **specification**, i.e., a set  $\{(i_1, F_1), \dots, (i_k, F_k)\}$  with  $(i_j, F_j) \in Q \times 2^Q$ 
  - We specify the **initial** and **final** states (= closure by **quotient**)
  - We can **toggle** the final states (= closure by **complement**)
  - We will do a **conjunction** over the  $(i_j, F_j)$  (= closure by **intersection**)
- **Semiautomaton Constrained topological sort** problem  $\text{CTS}(S)$ :
  - **Input:**
    - a **DAG**  $G$  with vertices labeled by letters of  $\Sigma$ ,
    - a **specification** of  $S$ , i.e.,  $\{(i_1, F_1), \dots, (i_k, F_k)\}$  with  $(i_j, F_j) \in Q \times 2^Q$
  - **Output:** is there a **topological sort** of  $G$  such that the sequence of vertex labels is accepted by the automaton  $(Q, \Sigma, \delta, i_j, F_j)$  for all  $1 \leq j \leq k$

## A Kind of Dichotomy (2)

### Theorem

For every *semiautomaton*  $S$ , exactly one of the following holds:

- The transition semigroup of  $S$  belongs to ... and  $\text{CTS}(S)$  is *in NL*
- The transition semigroup of  $S$  is not in ... and  $\text{CTS}(S)$  is *NP-hard*

## A Kind of Dichotomy (2)

### Theorem

For every **counterfree semiautomaton**  $S$ , exactly one of the following holds:

- The transition semigroup of  $S$  belongs to **DA** and  $\text{CTS}(S)$  is **in NL**
  - The transition semigroup of  $S$  is not in **DA** and  $\text{CTS}(S)$  is **NP-hard**
- 
- **DA** is a classic **variety of semigroups**



## A Kind of Dichotomy (2)

### Theorem

For every **counterfree semiautomaton**  $S$ , exactly one of the following holds:

- The transition semigroup of  $S$  belongs to **DA** and  $\text{CTS}(S)$  is **in NL**
  - The transition semigroup of  $S$  is not in **DA** and  $\text{CTS}(S)$  is **NP-hard**
- 
- **DA** is a classic **variety of semigroups**

## A Kind of Dichotomy (2)

### Theorem

For every **counterfree semiautomaton**  $S$ , exactly one of the following holds:

- The transition semigroup of  $S$  belongs to **DA** and  $\text{CTS}(S)$  is **in NL**
  - The transition semigroup of  $S$  is not in **DA** and  $\text{CTS}(S)$  is **NP-hard**
- 
- **DA** is a classic **variety of semigroups**
  - **Counterfree** is equivalent to being first-order definable and “not containing any groups”

## A Kind of Dichotomy (2)

### Theorem

For every ~~counterfree~~ semiautomaton  $S$ , exactly one of the following holds:

- The transition semigroup of  $S$  belongs to  $DO$  and  $CSh(S)$  is in  $NL$
  - The transition semigroup of  $S$  is not in  $DS$  and  $CSh(S)$  is  $NP$ -hard
- 
- $DA$  is a classic variety of semigroups
  - **Counterfree** is equivalent to being first-order definable and “not containing any groups”
  - $DO, DS$  are much less well understood varieties of semigroups

# Conclusion

---

## Summary and Future Work

Language	CSh (shuffle)	CTS (top. sort)
$(ab)^*$ , $u^*$ with different letters	NP-hard	NP-hard

# Summary and Future Work

Language	CSh (shuffle)	CTS (top. sort)
$(ab)^*$ , $u^*$ with different letters	NP-hard	NP-hard
Monomials $A_1^* a_1 \cdots A_n^* a_n A_{n+1}^*$	in NL	in NL
Groups, district group monomials	in NL	$\neg \_ (\neg) \_ \neg$

# Summary and Future Work

Language	CSh (shuffle)	CTS (top. sort)
$(ab)^*, u^*$ with different letters	NP-hard	NP-hard
Monomials $A_1^* a_1 \cdots A_n^* a_n A_{n+1}^*$	in NL	in NL
Groups, district group monomials	in NL	$\neg \_ (\neg) \_ \neg$
$b\Sigma^* + aa\Sigma^* + (ab)^*$	in NL	NP-hard

# Summary and Future Work

Language	CSh (shuffle)	CTS (top. sort)
$(ab)^*$ , $u^*$ with different letters	NP-hard	NP-hard
Monomials $A_1^* a_1 \cdots A_n^* a_n A_{n+1}^*$	in NL	in NL
Groups, district group monomials	in NL	$\neg \neg (\neg) \neg$
$b\Sigma^* + aa\Sigma^* + (ab)^*$	in NL	NP-hard
$L + \Sigma^*(a^k + b^k)\Sigma^*$	in NL	in NL
$(ab)^* + \Sigma^* a^2 \Sigma^*$	in NL	in NL
$L + \Sigma^* a^k \Sigma^*$	$\neg \neg (\neg) \neg$	$\neg \neg (\neg) \neg$



# Summary and Future Work

Language	CSh (shuffle)	CTS (top. sort)
$(ab)^*$ , $u^*$ with different letters	NP-hard	NP-hard
Monomials $A_1^* a_1 \cdots A_n^* a_n A_{n+1}^*$	in NL	in NL
Groups, direct group monomials	in NL	$\neg \neg (\neg) \neg$
$b\Sigma^* + aa\Sigma^* + (ab)^*$	in NL	NP-hard
$L + \Sigma^*(a^k + b^k)\Sigma^*$	in NL	in NL
$(ab)^* + \Sigma^* a^2 \Sigma^*$	in NL	in NL
$L + \Sigma^* a^k \Sigma^*$	$\neg \neg (\neg) \neg$	$\neg \neg (\neg) \neg$
$(aa + bb)^*$ , $(ab + a)^*$	NP-hard	NP-hard
$(aa + b)^*$	in NL	$\neg \neg (\neg) \neg$
$(a^k + b)^*$	$\neg \neg (\neg) \neg$	$\neg \neg (\neg) \neg$

# Summary and Future Work

Language	CSh (shuffle)	CTS (top. sort)
$(ab)^*$ , $u^*$ with different letters	NP-hard	NP-hard
Monomials $A_1^* a_1 \cdots A_n^* a_n A_{n+1}^*$	in NL	in NL
Groups, direct group monomials	in NL	$\neg \_ (\_ \_) \_$
$b\Sigma^* + aa\Sigma^* + (ab)^*$	in NL	NP-hard
$L + \Sigma^*(a^k + b^k)\Sigma^*$	in NL	in NL
$(ab)^* + \Sigma^* a^2 \Sigma^*$	in NL	in NL
$L + \Sigma^* a^k \Sigma^*$	$\neg \_ (\_ \_) \_$	$\neg \_ (\_ \_) \_$
$(aa + bb)^*$ , $(ab + a)^*$	NP-hard	NP-hard
$(aa + b)^*$	in NL	$\neg \_ (\_ \_) \_$
$(a^k + b)^*$	$\neg \_ (\_ \_) \_$	$\neg \_ (\_ \_) \_$
Essentially all other languages...	$\neg \_ (\_ \_) \_$	$\neg \_ (\_ \_) \_$

# Summary and Future Work

## Language

## CSh (shuffle) CTS (top. sort)

$(ab)^*, u^* w$

Monomials  
Groups, dis

$b\Sigma^* + aa\Sigma$

$L + \Sigma^*(a^k$

$(ab)^* + \Sigma^*$

$L + \Sigma^* a^k \Sigma$

$(aa + bb)^*$

$(aa + b)^*$

$(a^k + b)^*$

Informations

Recherches

Enseignement

Production logicielle

Problèmes ouverts

### Topological Sorting under Regular Constraints

By Antoine Amarilli and Charles Paperman.

This page presents the constrained topological sorting and constrained shuffle problems, and some of our results and open questions related to these problems. It is a complement to our [paper](#), which will be presented at ICALP'18.

#### Problem definitions

Fix an alphabet  $A$ . An  $A$ -DAG is a *directed acyclic graph*  $G$  where each vertex is labeled by a letter of  $A$ . A *topological sort* of  $G$  is a linear ordering of the vertices that respects the edges of the DAG, i.e., for every edge  $(u, v)$  of  $G$ , the vertex  $u$  is enumerated before  $v$ . The topological sort achieves the word of  $A^*$  formed by concatenating the labels of the vertices in the order where they are enumerated.

Fix a language  $L \subseteq A^*$ . The *constrained topological sort problem* for  $L$ , written  $\text{CTS}[L]$  asks, given an  $A$ -DAG  $G$ , whether there is a topological sort of  $G$  that achieves a word of  $L$ .

One problem variant is the *multi-letter setting* where the input DAG is an  $A^*$ -DAG, where the vertices are labeled by a word of  $A^*$ , i.e., a topological sort achieves the word obtained by concatenating the labels of the vertices, but the words labeling each vertex cannot be interleaved with anything else. However in this page we mostly focus on the *single-letter settings*, i.e.,  $A$ -DAGs.

Our current main results on the CTS-problem are presented in our [paper](#). We show that  $\text{CTS}[L]$  is in NL for some regular languages  $L$ , and is NP-hard for some other regular languages.

**Main dichotomy conjecture:** For every regular language  $L$ , either  $\text{CTS}[L]$  is in NL or  $\text{CTS}[L]$  is NP-hard.

#### Restrictions on the input DAG

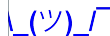
When the input DAG  $G$  is an union of [paths](#), the problem is called *constrained shuffle problem* (CSh), because a topological sort of  $G$  corresponds to an interleaving of the strings represented by the paths.

We can consider the problem where the input DAG has bounded *height*, where the height of a DAG is defined as the length of the longest directed path.

We can consider the problem where the input DAG has bounded *width*, where the *width* of a DAG is the size of its largest *antichain*, i.e., subset of pairwise incomparable vertices. In the case of the CSh problem, the width is the number of paths.

NP-hard

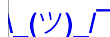
in NL



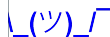
NP-hard

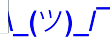
in NL

in NL



NP-hard





Essentially all other languages...





# Summary and Future Work

## Language

$(ab)^*$ ,  $u^*$  with

Monomials  $A_1^*$

Groups, distributive

$b\Sigma^* + aa\Sigma^* +$

$L + \Sigma^*(a^k + b^k)$

$(ab)^* + \Sigma^*a^2\Sigma^*$

$L + \Sigma^*a^k\Sigma^*$

$(aa + bb)^*$ ,  $(a$

$(aa + b)^*$

$(a^k + b)^*$



OUT NIDE IOU

CTS (top. sort)

NP-hard

in NL

$\neg\_(\neg)\_$

NP-hard

in NL

in NL

$\neg\_(\neg)\_$

NP-hard

$\neg\_(\neg)\_$

$\neg\_(\neg)\_$

Essentially all other languages...

$\neg\_(\neg)\_$

$\neg\_(\neg)\_$

# Summary and Future Work

Language	CSh (shuffle)	CTS (top. sort)
$(ab)^*$ , $u^*$ with different letters	NP-hard	NP-hard
Monomials $A_1^* a_1 \cdots A_n^* a_n A_{n+1}^*$	in NL	in NL
Groups, direct group monomials	in NL	$\neg \_ (\text{ツ}) \_ \neg$
$b\Sigma^* + aa\Sigma^* + (ab)^*$	in NL	NP-hard
$L + \Sigma^*(a^k + b^k)\Sigma^*$	in NL	in NL
$(ab)^* + \Sigma^* a^2 \Sigma^*$	in NL	in NL
$L + \Sigma^* a^k \Sigma^*$	$\neg \_ (\text{ツ}) \_ \neg$	$\neg \_ (\text{ツ}) \_ \neg$
$(aa + bb)^*$ , $(ab + a)^*$	NP-hard	NP-hard
$(aa + b)^*$	in NL	$\neg \_ (\text{ツ}) \_ \neg$
$(a^k + b)^*$	$\neg \_ (\text{ツ}) \_ \neg$	$\neg \_ (\text{ツ}) \_ \neg$
Essentially all other languages...	$\neg \_ (\text{ツ}) \_ \neg$	$\neg \_ (\text{ツ}) \_ \neg$

Thanks for your attention!

# References



Amarilli, A. and Paperman, C. (2018).

**Topological Sorting under Regular Constraints.**

In *ICALP*.



Warmuth, M. K. and Haussler, D. (1984).

**On the complexity of iterated shuffle.**

*JCSS*, 28(3).

## Image Credits

Super-Dupont (slide 24) : *Oui nide iou*, Superdupont, Lob & Gotlib, drawn by Neal Adams, Alexis, Al Coutelis, Daniel Goossens, Solé, Gotlib. Fair use.