



Dynamic Membership for Regular Languages

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Problem: dynamic membership for regular languages

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 E.g., $L = (ab)^*$

• Read an input word w with n := |w|

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- Preprocess it in O(n)
 - \rightarrow E.g., we have $\mathbf{w} \notin \mathbf{L}$

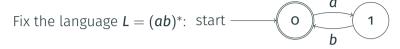
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- Maintain the membership of w to L under substitution updates
 - \rightarrow E.g., replace character at position 3 with a: we now have $w \in L$

Design choices

- Model: RAM model
 - Cell size in $\Theta(\log(n))$
 - Unit-cost arithmetics
- Updates: only substitutions (so n never changes)
 - · Otherwise, already tricky to maintain the current state of the word
- Memory usage: always polynomial in n by definition of the model
 - Our upper bounds only need O(n) space
 - The lower bounds apply without this assumption
- Preprocessing:
 - The upper bounds only need O(n) preprocessing
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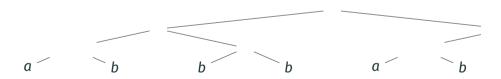




· Build a balanced binary tree on the input word w = abbbab

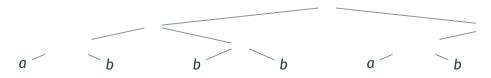


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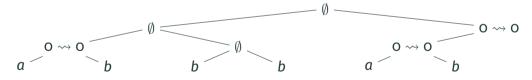
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- Label each node n by the transition monoid element: all pairs $q \rightsquigarrow q'$ such that we can go from q to q' by reading the factor below n



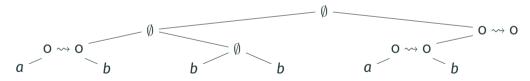


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- The tree root describes if $w \in L$
- We can update the tree for each substitution in $O(\log n)$
- Can be improved to $O(\log n/\log \log n)$ with a log-ary tree

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- · Check that *n* is even
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- Maintain this counter in constant time
- We have $\mathbf{w} \in \mathbf{L}$ iff there are no violations

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Question: what is the complexity of dynamic membership, depending on the fixed regular language *L*?

Dynamic word problem for monoids

To answer the question, we study the dynamic word problem for monoids:

- Problem definition:
 - Fix a monoid M (set with associative law and neutral element)
 - Input: word w of elements of M
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 - Input: word w of elements of M
 - · Maintain the **product** of the elements under substitution updates
- This is a **special case** of dynamic membership for regular languages
 - e.g., it assumes that there is a neutral element
- This problem was studied by [Skovbjerg Frandsen et al., 1997]:
 - \rightarrow in O(1) for commutative monoids
 - \rightarrow in $O(\log \log n)$ for group-free monoids
 - \rightarrow in $\Theta(\log n/\log\log n)$ for a certain class of monoids

Our results on the dynamic word problem for monoids

ZG: in O(1) not in O(1)?

- We identify the class **ZG** satisfying $x^{\omega+1}y = yx^{\omega+1}$:
 - for any monoid in **ZG**, the problem is in O(1)
 - for any monoid not in **ZG**, we can reduce from a problem that we conjecture is not in *O*(1)

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- We identify the class **SG** satisfying $x^{\omega+1}yx^{\omega}=x^{\omega}yx^{\omega+1}$
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- The problem is always in $O(\log n / \log \log n)$

Results on the dynamic membership problem for regular languages

QLZG: in *O*(1)

QSG: in $O(\log \log n)$ not in O(1)?

All: in $\Theta(\log n / \log \log n)$

Our results extend to regular language classes called **QLZG** and **QSG**

 \rightarrow We define them in the sequel

Results on monoids

O(1) upper bound for monoids

Theorem

The dynamic word problem for commutative monoids is in O(1)

Algorithm:

- Count the number n_m of occurrences of each element m of M in w
- Maintain the counts n_m under updates
- Evaluate the product as $\prod_{m \in M} m^{n_m}$ in O(1)

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Lemma (Closure under monoid variety operations)

The **submonoids**, **direct products**, **quotients** of tractable monoids are also tractable

O(1) upper bound for monoids (cont'd)

Theorem

The monoids S^1 where we add an identity to a nilpotent semigroup S are in O(1)

Idea of the proof: consider e*ae*be*

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This technique applies to monoids where we intuitively need to track a constant number of non-neutral elements

O(1) upper bound for monoids (end)

Call **ZG** the variety of monoids satisfying $x^{\omega+1}y = yx^{\omega+1}$ for all x, y

- \rightarrow Elements of the form $\mathbf{x}^{\omega+1}$ are those belonging to a subgroup of the monoid
- \rightarrow This includes in particular all idempotents (xx = x)
- \rightarrow The $x^{\omega+1}$ are central: they commute with all other elements

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Lemma

ZG is exactly the monoids obtainable from commutative monoids and monoids of the form S^1 for a nilpotent semigroup S via the monoid variety operators

Theorem

The dynamic word problem for monoids in **ZG** is in O(1)

$O(\log \log n)$ upper bound for monoids

Call **SG** the variety of monoids satisfying $x^{\omega+1}yx^{\omega} = x^{\omega}yx^{\omega+1}$ for all x,y

→ Intuition: we can swap the elements of any given subgroup of the monoid

Examples:

- All **ZG** monoids (where elements $x^{\omega+1}$ commute with everything)
- All group-free monoids (where subgroups are trivial)
- Products of ZG monoids and group-free monoids

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Theorem

The dynamic word problem for monoids in **SG** is in $O(\log \log n)$

Tools: induction on \mathcal{J} -classes, Rees-Sushkevich theorem, Van Emde Boas trees

Lower bounds

All lower bounds reduce from the **prefix problem** for some language *L*:

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Specifically:

- Prefix- \mathbb{Z}_d : for $\Sigma = \{0, ..., d-1\}$, does the input prefix sum to 0 modulo d? \rightarrow Known lower bound of $\Omega(\log n/\log\log n)$
- Prefix- U_1 : for $\Sigma = \{0, 1\}$, does the queried prefix contain a o?
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Theorem (Lower bounds on a monoid *M*)

- If M is not in SG, then for some $d \in \mathbb{N}$ the Prefix- \mathbb{Z}_d problem reduces to the dynamic word problem for M
- If **M** is in $SG \setminus ZG$, then Prefix-U₁ reduces to the dynamic word problem for **M**

Results on languages (via semigroups)

From monoids to semigroups

- · Semigroup: like a monoid but possibly without a neutral element
- · Dynamic word problem for semigroups: defined like for monoids

What is the difference?

- The language $\Sigma^*(ae^*a)\Sigma^*$ on $\Sigma=\{a,b,e\}$ has a neutral letter e that we intuitively need to "jump over"
- The language $\Sigma^*aa\Sigma^*$ on $\Sigma=\{a,b\}$ without e can be maintained in O(1) by counting the factors aa

Submonoids in semigroups

- · A submonoid of a semigroup S is a subset of S that has a neutral element
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 - \rightarrow Lower bounds on *M* thus apply to *S*

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- · Hence, we define:
 - LSG: all submonoids are in SG
 - \rightarrow We show **LSG** = **SG** and extend our bounds to semigroups in **SG**
 - · LZG: all submonoids are in ZG
 - ightarrow We have **LZG** \neq **ZG** and show bounds for semigroups in **LZG**

From semigroups to languages

We now move back to dynamic membership for regular languages

- Dynamic membership for a regular language L is like the dynamic word problem for its syntactic semigroup
 - → This is like the transition monoid but without the neutral element
- Difference: not all elements of the syntactic semigroup can be achieved as one letter
- → We use instead the **stable semigroup**, which intuitively groups letters together into **blocks** of a constant size

From semigroups to languages (cont'd)

Call QLZG and QSG the languages whose stable semigroup is in LZG and SG

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Our results on **semigroups** in **SG** and **LZG** extend to **regular languages** in **QSG** and **QLZG**

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Theorem

Our results on **semigroups** in **SG** and **LZG** extend to **regular languages** in **QSG** and **QLZG**

For any regular language **L**:

- If L is in QLZG then dynamic membership is in O(1)
- If L is in QSG \setminus QLZG then dynamic membership is in $O(\log \log n)$ and has a reduction from prefix-U₁
- If L is not in QSG then dynamic membership is in $\Theta(\log n/\log\log n)$



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