

Provenance Circuits for Trees and Treelike Instances

Antoine Amarilli¹, Pierre Bourhis², Pierre Senellart^{1,3}

¹Télécom ParisTech

²CNRS-LIFL

³National University of Singapore

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General idea

- We consider a **query** and a **relational instance**
- Often it is not **sufficient** to merely **evaluate the query**:
 - We need **quantitative information**
 - We need the link from the **output** to the **input data**

General idea

- We consider a **query** and a **relational instance**
 - Often it is not **sufficient** to merely **evaluate the query**:
 - We need **quantitative information**
 - We need the link from the **output** to the **input data**
- Compute **query provenance**!

Example 1: security for a conjunctive query

- Consider the **conjunctive query**: $\exists xyz R(x, y) \wedge R(y, z)$
- Consider the **relational instance** below:

R	
a	b
b	c
d	e
e	d
f	f

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- **Result:** true

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<i>R</i>		
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<i>a</i>	<i>b</i>	Public
<i>b</i>	<i>c</i>	Secret
<i>d</i>	<i>e</i>	Confidential
<i>e</i>	<i>d</i>	Confidential
<i>f</i>	<i>f</i>	Top secret

- **Result**: true
- Add **security annotations**: Public, Confidential, Secret, Top secret, Never available

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- What is the minimal **security clearance** required?

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<i>e</i>	<i>d</i>	Confidential
<i>f</i>	<i>f</i>	Top secret

- **Result**: true
 - Add **security annotations**: Public, Confidential, Secret, Top secret, Never available
 - What is the minimal **security clearance** required?
- **Result**: Confidential

Example 2: bag queries

Consider again: $\exists xyz R(x, y) \wedge R(y, z)$.

<i>R</i>	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>d</i>
<i>f</i>	<i>f</i>

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Consider again: $\exists xyz R(x, y) \wedge R(y, z)$.

<i>R</i>	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>d</i>
<i>f</i>	<i>f</i>

- **Result:** true

Example 2: bag queries

Consider again: $\exists xyz R(x, y) \wedge R(y, z)$.

<hr/>		
<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
- Add **multiplicity annotations**

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<hr/>		
<i>R</i>		
<hr/>		
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<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
- Add **multiplicity annotations**
- How many **query matches?**

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<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
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- How many **query matches?**

→ **Result:** 1

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<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

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<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

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<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1
<hr/>		

- **Result:** true
- Add **multiplicity annotations**
- How many **query matches?**

→ **Result:** 1 + 1 + 1 + 1

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<i>R</i>		
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<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
- Add **multiplicity annotations**
- How many **query matches?**

→ **Result:** $1 + 1 + 1 + 1 = 4$

Example 3: uncertain facts

Consider again: $\exists xyz R(x, y) \wedge R(y, z)$.

<i>R</i>	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>d</i>
<i>f</i>	<i>f</i>

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<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>d</i>
<i>f</i>	<i>f</i>

- **Result:** true

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Consider again: $\exists xyz R(x, y) \wedge R(y, z)$.

<hr/>		
<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	<i>f</i> ₁
<i>b</i>	<i>c</i>	<i>f</i> ₂
<i>d</i>	<i>e</i>	<i>f</i> ₃
<i>e</i>	<i>d</i>	<i>f</i> ₄
<i>f</i>	<i>f</i>	<i>f</i> ₅

- **Result:** true
- Assume facts are **uncertain**, give them **atomic annotations**

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<hr/>		
<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	<i>f</i> ₁
<i>b</i>	<i>c</i>	<i>f</i> ₂
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<i>e</i>	<i>d</i>	<i>f</i> ₄
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- For **which subinstances** does the query hold?

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<i>e</i>	<i>d</i>	<i>f</i> ₄
<i>f</i>	<i>f</i>	<i>f</i> ₅

- **Result:** true
 - Assume facts are **uncertain**, give them **atomic annotations**
 - For **which subinstances** does the query hold?
- **Result:** (*f*₁ \wedge *f*₂)

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Consider again: $\exists xyz R(x, y) \wedge R(y, z)$.

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<i>a</i>	<i>b</i>	<i>f</i> ₁
<i>b</i>	<i>c</i>	<i>f</i> ₂
<i>d</i>	<i>e</i>	<i>f</i> ₃
<i>e</i>	<i>d</i>	<i>f</i> ₄
<i>f</i>	<i>f</i>	<i>f</i> ₅

- **Result:** true
 - Assume facts are **uncertain**, give them **atomic annotations**
 - For **which subinstances** does the query hold?
- **Result:** $(f_1 \wedge f_2) \vee (f_3 \wedge f_4)$

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<i>a</i>	<i>b</i>	<i>f</i> ₁
<i>b</i>	<i>c</i>	<i>f</i> ₂
<i>d</i>	<i>e</i>	<i>f</i> ₃
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Example 4: the universal semiring $\mathbb{N}[X]$

- Consider again: $\exists xyz R(x, y) \wedge R(y, z)$.
- Annotate **input facts** with atomic annotations $X = f_1, \dots, f_n$
- **Most general semiring**: $\mathbb{N}[X]$ of polynomials on X

<hr/>		
<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	<i>f</i> ₁
<i>b</i>	<i>c</i>	<i>f</i> ₂
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→ **Result**: $(f_1 \otimes f_2)$

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e	d	f_4
f	f	f_5

→ **Result**: $(f_1 \otimes f_2) \oplus (f_3 \otimes f_4)$

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→ **Result:** $(f_1 \otimes f_2) \oplus (f_3 \otimes f_4) \oplus (f_4 \otimes f_3) \oplus (f_5 \otimes f_5)$

Specialization and homomorphisms

- These examples are **captured** by commutative semirings:
 - **security** semiring $(K, \min, \max, \text{Public}, \text{Never available})$
 - **bag** semiring $(\mathbb{N}, +, \times, 0, 1)$
 - **Boolean** semiring $(\text{PosBool}[X], \vee, \wedge, \text{f}, \text{t})$
 - **universal** semiring $(\mathbb{N}[X], +, \times, 0, 1)$

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 - **universal** semiring $(\mathbb{N}[X], +, \times, 0, 1)$
- $\mathbb{N}[X]$ is the **universal** semiring:
 - The provenance for $\mathbb{N}[X]$ can be **specialized** to any $K[X]$
 - By **commutation with homomorphisms**, atomic annotations in X can be replaced by their value in K

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 - **universal** semiring ($\mathbb{N}[X], +, \times, 0, 1$)
 - $\mathbb{N}[X]$ is the **universal** semiring:
 - The provenance for $\mathbb{N}[X]$ can be **specialized** to any $K[X]$
 - By **commutation with homomorphisms**, atomic annotations in X can be replaced by their value in K
- Computing $\mathbb{N}[X]$ provenance **subsumes** all tasks
- It can be done in **PTIME** data complexity for CQs

Provenance and probability

- Probabilistic query evaluation:
 - Fixed CQ q , and input TID instance:

<hr/>		
R		
<hr/>		
a	b	0.6
b	c	0.9
<hr/>		

Provenance and probability

- **Probabilistic** query evaluation:
 - Fixed **CQ** q , and input **TID instance**:

<hr/>		
R		
<hr/>		
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→ Computing the **probability** of the PosBool[X]-provenance

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 - Fixed CQ q , and input TID instance:

<hr/>		
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- Computing the probability of the PosBool[X]-provenance
- #P-hard in data complexity

Trees and treelike instances

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 - **Treelike:** the **treewidth** is bounded by a **constant**

Problem statement

- Many tasks have tractable **data complexity** on **treelike instances**:
 - **MSO query evaluation** is **linear** [Courcelle, 1990]
 - **MSO result counting** is **linear** [Arnborg et al., 1991]
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- Can we **generalize** the above results?

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- 1 Introduction
- 2 Bool[X]-provenance**
- 3 N[X]-provenance
- 4 Conclusion

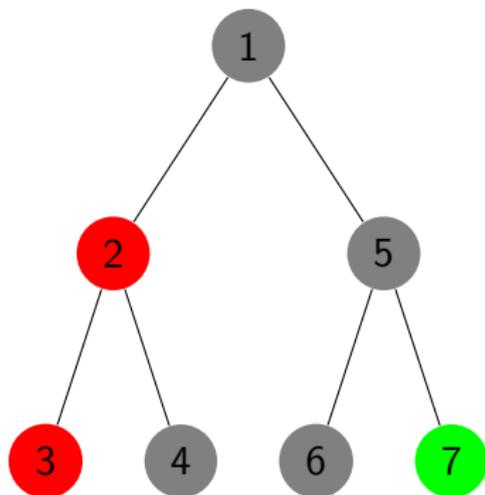
General idea

- Bool[X]-provenance on **trees** and **treelike instances**
- The world of **trees**:
 - **Query**: MSO on trees
- The world of **treelike instances**:
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 - **Reduces to trees** [Courcelle, 1990]

General idea

- Bool[X]-provenance on **trees** and **treelike instances**
 - The world of **trees**:
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 - **Reduces to trees** [Courcelle, 1990]
- Start with Bool[X]-provenance for queries on **trees**

Uncertain trees



A **valuation** of a tree decides whether to **keep** or **discard** node labels.

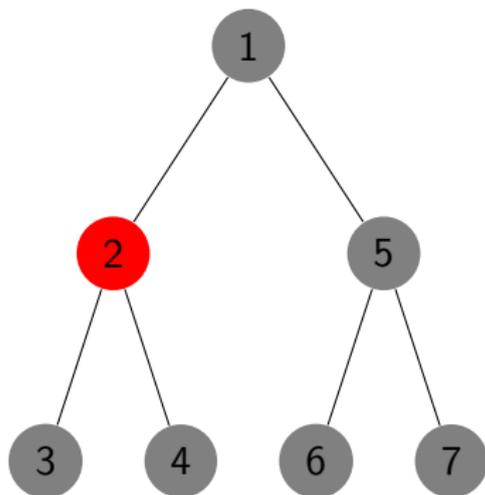
Example query:

“Is there both a red and a green node?”

Valuation: $\{1, 2, 3, 4, 5, 6, 7\}$

The query is **true**

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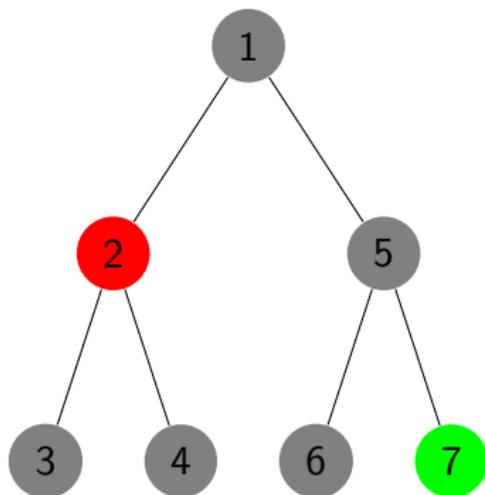
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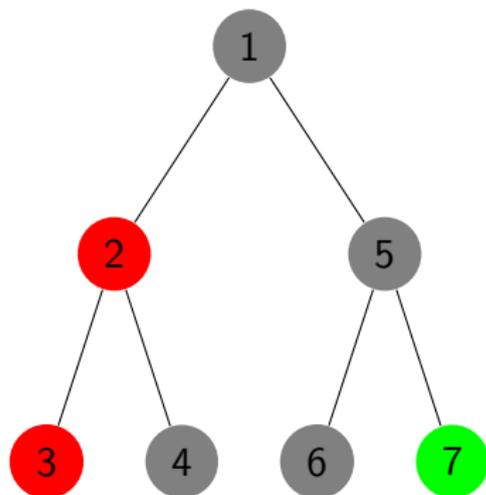
Example query:

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Valuation: $\{2, 7\}$

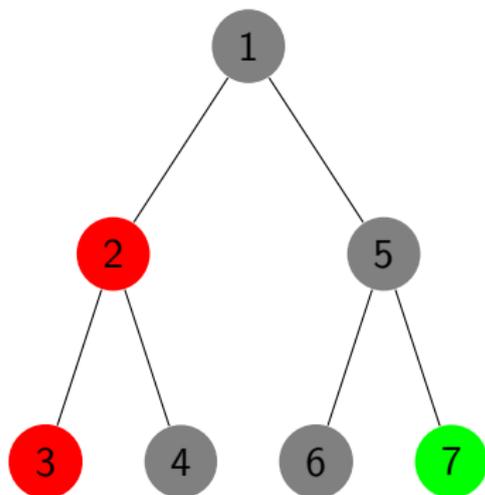
The query is **true**

Provenance formulae and circuits



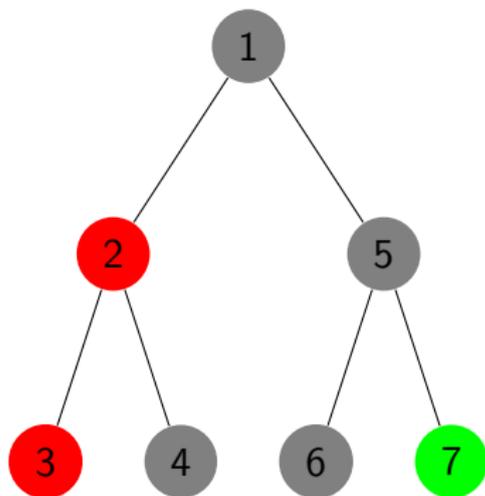
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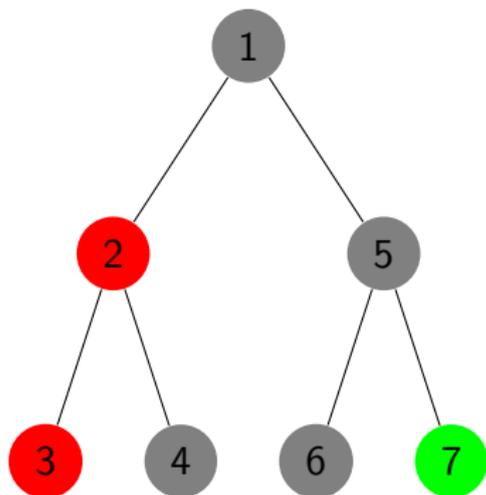
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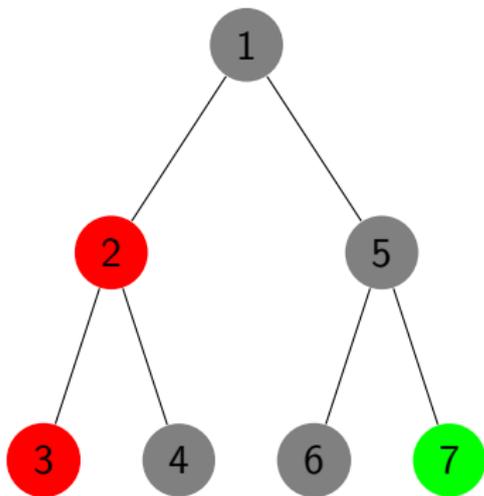
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- **Provenance circuit** of q on T [Deutch et al., 2014]
 - **Boolean circuit** C
 - with **input gates** $g_1 \dots g_7$
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Example



Is there both a **red** and a **green** node?

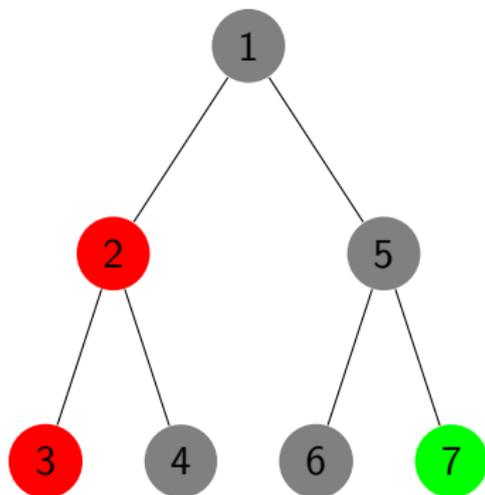
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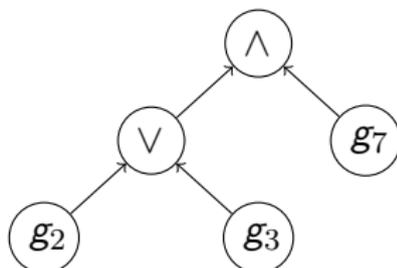
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Our main result on trees

Theorem

For any fixed *MSO query* q (first order + quantify on sets),
for any input *tree* T ,
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→ Key ideas:

- Compile q to a *tree automaton* [Thatcher and Wright, 1968]
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Corollary

If tree nodes have a *probability* of being independently kept,
we can compute the *query probability* in linear time.

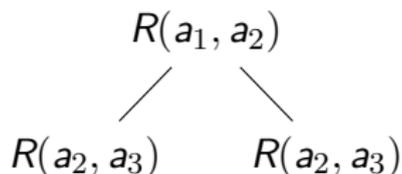
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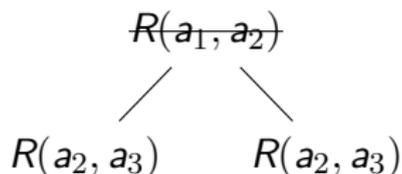
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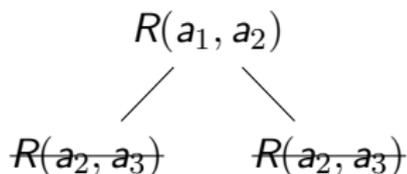
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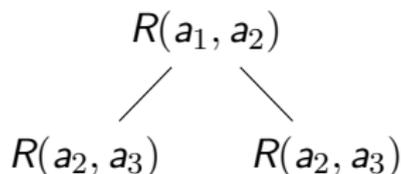
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For any fixed *MSO query* q and $k \in \mathbb{N}$,
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MSO counting has *linear time* complexity (already known).

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First problem: non-monotone queries

- We want to **move** from $\text{Bool}[X]$ to $\mathbb{N}[X]$
- Semirings and **negation** don't mix [Amsterdamer et al., 2011]
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- Provenance circuits for **monotone queries** can be **monotone**

Second problem: intrinsic definition

- Boolean provenance has an **intrinsic definition**:
“Characterize which subinstances satisfy the query”
 - Independent from how the query is **written**
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- We restrict to (Boolean) **UCQs** from now on

Provenance of a Boolean CQ

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How is $\mathbb{N}[X]$ **more expressive** than $\text{PosBool}[X]$?

- **Coefficients:** counting multiple derivations
- **Exponents:** using facts multiple times

Our result for $\mathbb{N}[X]$ -provenance circuits

Theorem

For any fixed *UCQ* q and $k \in \mathbb{N}$,
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- What *fails* for MSO/Datalog?
- *Unbounded* maximal multiplicity
 - *Logical* definition of fact multiplicity?

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Summary

- **Result:**
 - **Linear time** provenance circuit computation on trees and treelike instances:
 - for MSO, Bool[X]
 - for monotone MSO, PosBool[X]
 - for UCQ, $\mathbb{N}[X]$
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- **Applications:**

- Capture **existing results**
(decouple symbolic and numerical computation)
- Extend to **new applications** (probabilities)

Future work

- Extend $\mathbb{N}[X]$ **beyond UCQs** (e.g., formal series, multiplicities)
- **Monadic Datalog?** [Gottlob et al., 2010]
- **Other applications?** aggregation, enumeration?
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Thanks for your attention!

References I

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Semiring provenance [Green et al., 2007]

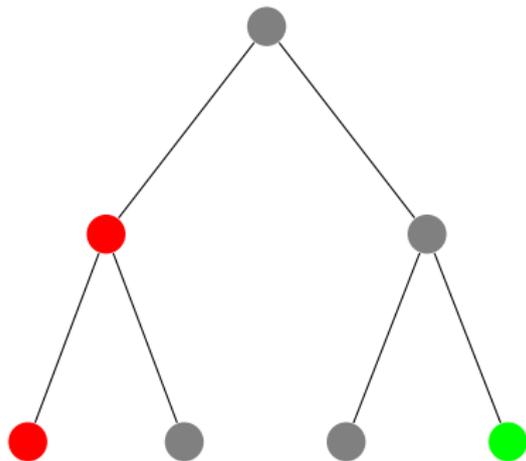
- **Semiring** $(K, \oplus, \otimes, 0, 1)$
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Semiring provenance [Green et al., 2007]

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- Idea: Maintain **annotations** on tuples while evaluating:
 - **Union**: annotation is the **sum** of union tuples
 - **Select**: select as usual
 - **Project**: annotation is the **sum** of projected tuples
 - **Product**: annotation is the **product**

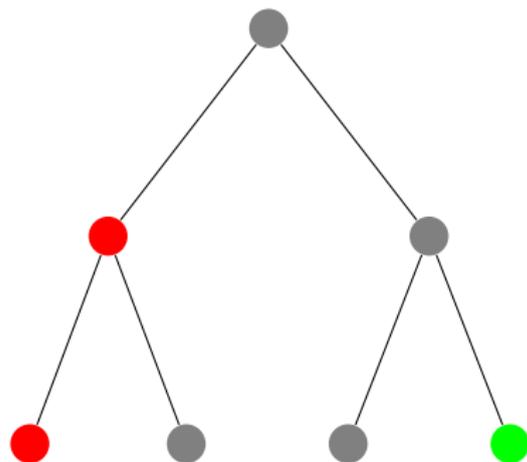
Tree automata

Tree alphabet: ● ● ●



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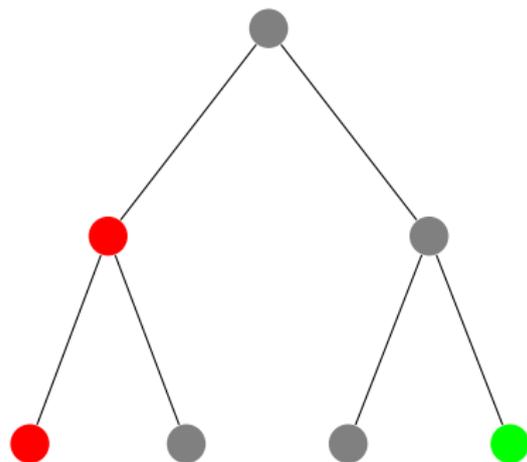
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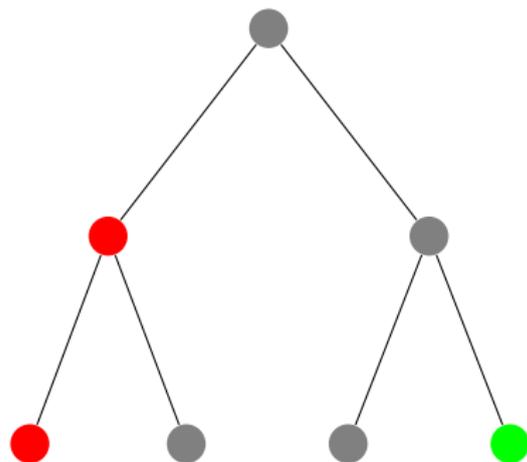
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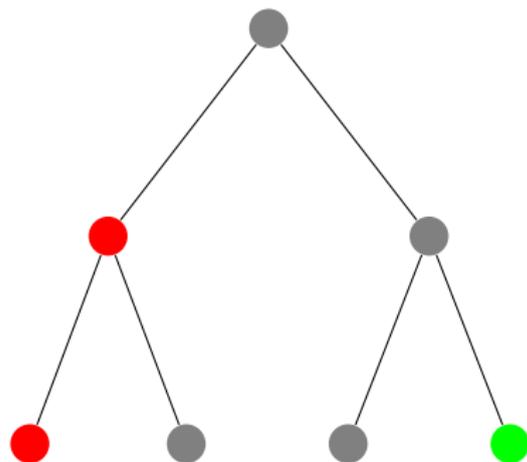
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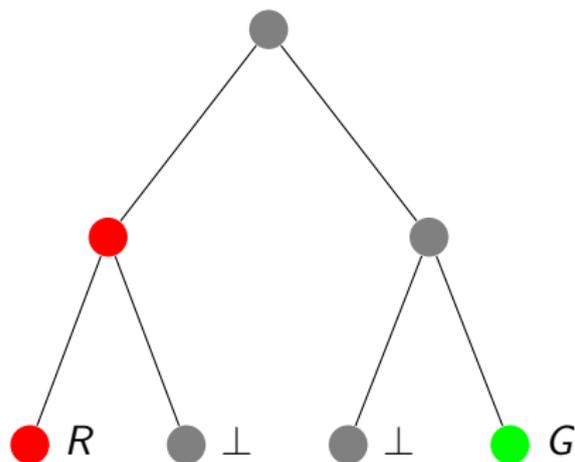
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● \perp ● R ● G

Tree automata

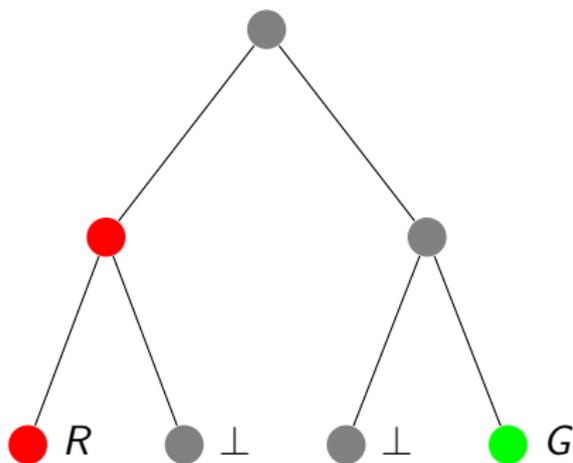
Tree alphabet: ● ● ●



- **bNTA**: bottom-up nondeterministic tree automaton
- “Is there both a red and green node?”
- **States**: $\{\perp, G, R, T\}$
- **Final states**: $\{T\}$
- **Initial function**:
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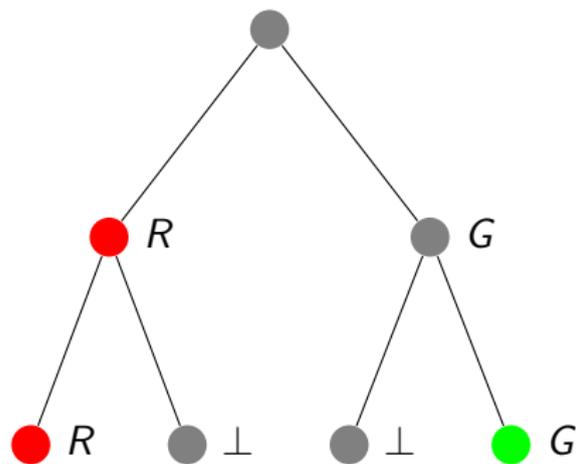
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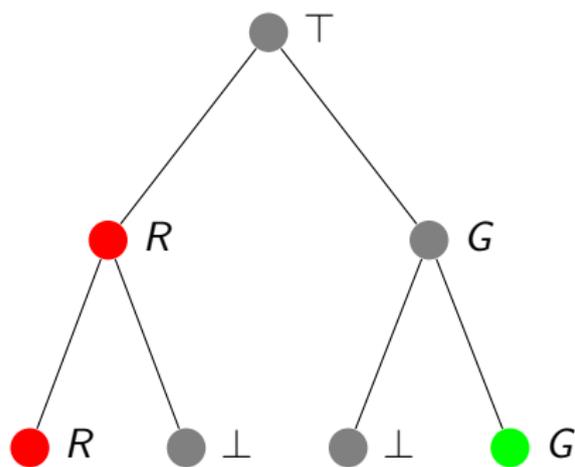
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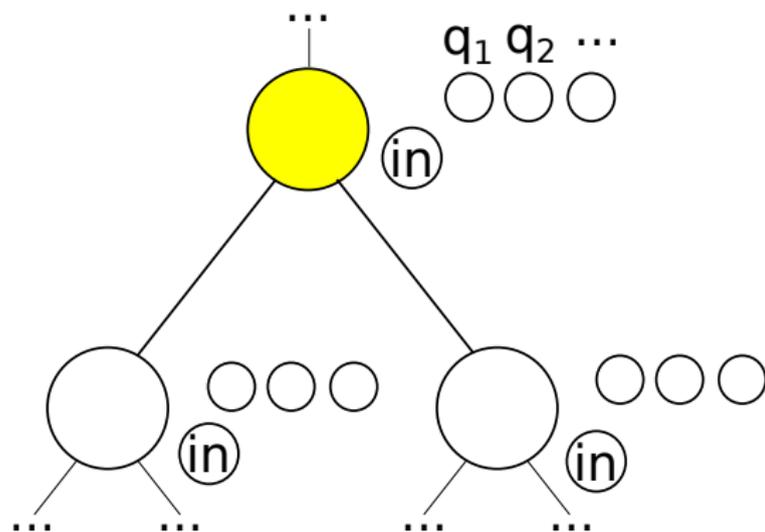
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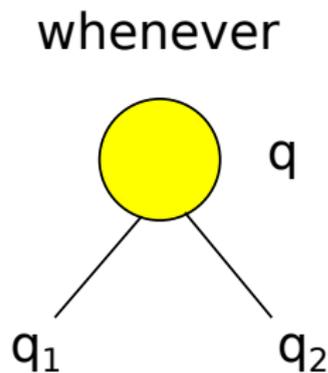
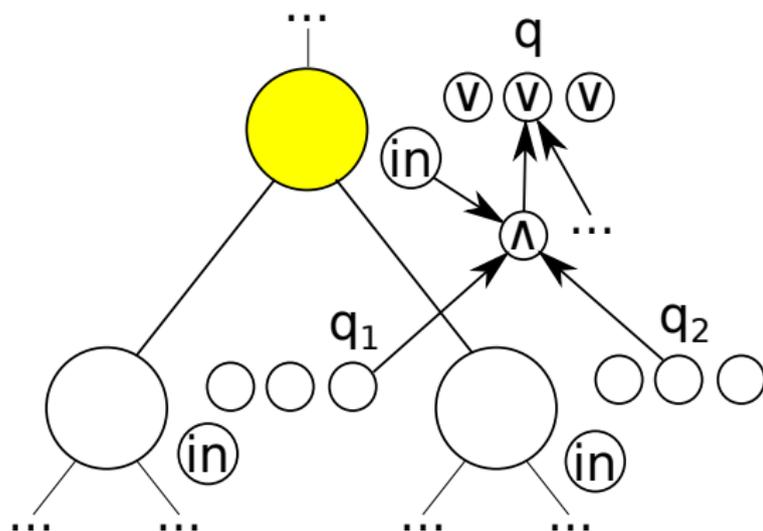
Constructing the provenance circuit

→ Construct a Boolean provenance circuit **bottom-up**



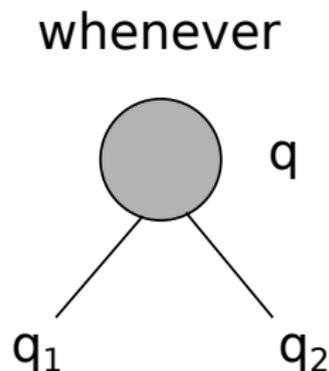
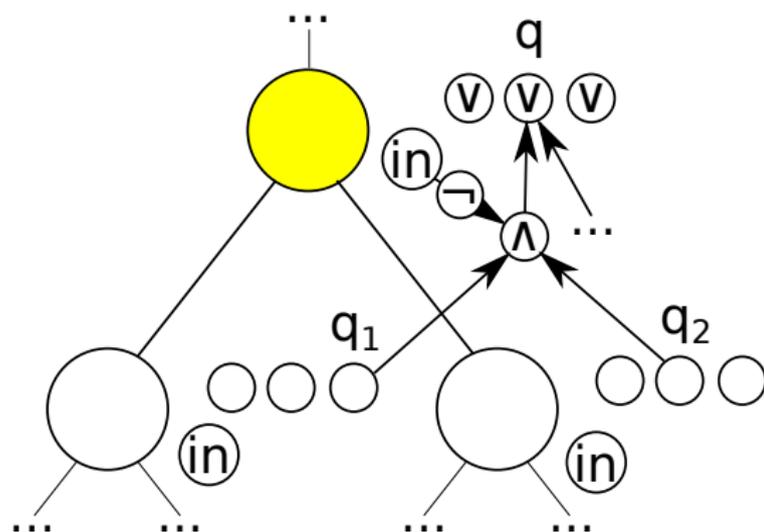
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Encoding treelike instances [Chaudhuri and Vardi, 1992]

Instance:

N

a *b*

b *c*

c *d*

d *e*

e *f*

S

a *c*

b *e*

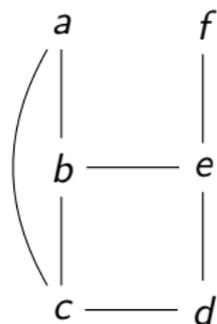
Encoding treelike instances [Chaudhuri and Vardi, 1992]

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<i>b</i>	<i>c</i>
<i>c</i>	<i>d</i>
<i>d</i>	<i>e</i>
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Gaifman graph:



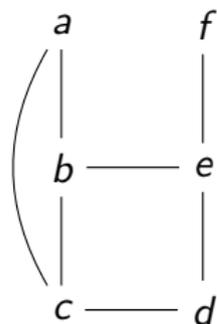
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Instance:

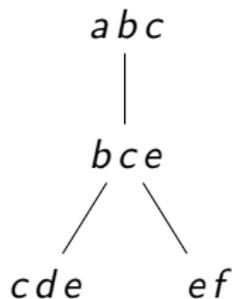
N	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>c</i>	<i>d</i>
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Tree decomp.:



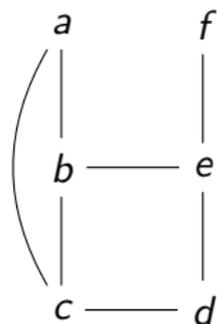
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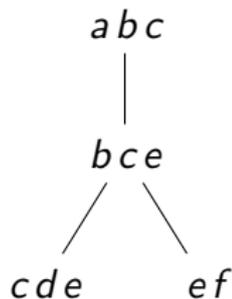
N	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>c</i>	<i>d</i>
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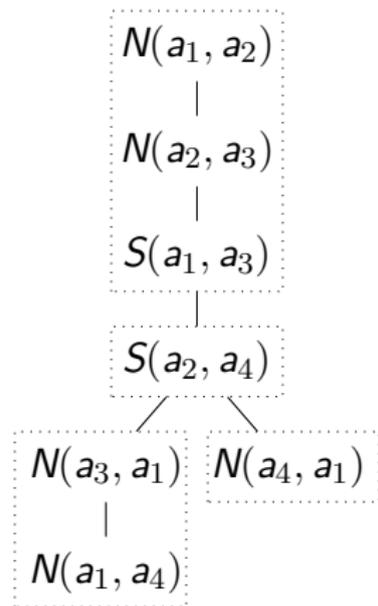
Gaifman graph:



Tree decomp.:



Tree encoding:



Example: block-independent disjoint (BID) instances

<u>name</u>	city	iso	p
pods	melbourne	au	0.8
pods	sydney	au	0.2
icalp	tokyo	jp	0.1
icalp	kyoto	jp	0.9

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- Evaluating a fixed CQ is **#P-hard** in general
→ For a **treelike** instance, **linear time**!

Supporting coefficients

- In the world of **trees**
 - The same **valuation** can be accepted **multiple times**
 - Number of **accepting runs** of the bNTA
- In the world of **treelike instances**
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- Add **assignment facts** to represent possible assignments
- Encode to a bNTA that **guesses them**

Supporting exponents

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 - The same **fact** can be used **multiple times**
 - Annotate nodes with a **multiplicity**
 - The bNTA is **monotone** for that **multiplicity**
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 - **Maximal multiplicity** is query-dependent but **instance-independent**
- Encodes CQs to bNTAs that read **multiplicities**
- Consider all possible CQ **self-homomorphisms**
 - Count the multiplicities of **identical atoms**
 - Rewrite relations to **add multiplicities**
 - Usual compilation on the **modified signature**