## Probabilities and Provenance on Trees and Treelike Instances

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$\rightarrow$ Example: Boolean conjunctive queries (= existentially quantified conjunction of atoms)
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$\rightarrow$ Query evaluation problem for $\mathcal{Q}$ and $\mathcal{I}$ :
- Fix a query $q \in \mathcal{Q}$
- Given an input instance $I \in \mathcal{I}$
- Determine whether / satisfies $q$ (written $/ \models q$ )
- Complexity as a function of $I$, not $q$ (= data complexity)


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| :---: |
| $a$ |
| $b$ |
| $c$ |

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\overline{\mathbf{R}} & \frac{\mathbf{S}}{a} \\
\frac{a}{} & \begin{array}{l}
b \\
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\bar{b} \quad w \\
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- Data complexity: measured as a function of $I$ and $\pi$
- Semantics: $(I, \pi)$ gives a probability distribution on $I^{\prime} \subseteq I$ :
- Each fact $F \in I$ is either present or absent with probability $\pi(F)$
- Facts are independent


## Example of a probabilistic instance

| $\mathbf{S}$ |  |  |
| :---: | :---: | :---: |
| $a$ | $a$ | 1 |
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- Probabilistic XML: [Cohen et al., 2009]
- $\mathcal{Q}$ are tree automata, $\mathcal{I}$ are trees
- PQE is PTIME


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$\rightarrow$ Does this extend to probabilistic QE?


## Our results

An instance-based dichotomy result:
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Lower bound. For any unbounded-tw family $\mathcal{I}$ and $\mathcal{Q}$ FO queries $\rightarrow \mathrm{PQE}$ is \#P-hard under RP reductions assuming

- Signature arity is 2 (graphs)
- High-tw instances in $\mathcal{I}$ are easily constructible


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6 Conclusion

## Technical tool: provenance

The provenance of a query $q$ on an instance $l$ :

- Boolean function $\phi$ whose variables are the facts of $I$
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Example query: $\exists x y z R(x, y) \wedge R(y, z)$


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The provenance of a query $q$ on an instance $l$ :

- Boolean function $\phi$ whose variables are the facts of $I$
- A subinstance of $I$ satisfies $q$ iff $\phi$ is true for that valuation
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## General roadmap

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- Use provenance for probabilistic query evaluation:
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- Compute the provenance probability efficiently (show it is not \#P-hard as in the general case)
- To solve the PQE problem on treelike instances for MSO
- First solve the problem on trees with tree automata
- Then use the results of [Courcelle, 1990]


## Uncertain trees



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A valuation of a tree decides whether to keep or discard node labels.

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Example tree automaton:
"Is there both a red and a green node?"
Valuation: $\{2,3,7\}$
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## Provenance formulae and circuits on trees



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- Provenance circuit of $A$ on $T$
[Deutch et al., 2014]
- Boolean circuit $C$
- with input gates $g_{2}, g_{3}, g_{7}$
$\rightarrow A$ accepts $\nu(T)$ iff $\nu(C)$ is true


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## Our main result on trees

Theorem
For any bottom-up (nondet) tree automaton $A$ and input tree $T$, we can build a provenance circuit of $A$ on $T$ in linear time in $A$ and $T$.

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## Treelike instances

- Treelike instance I
- Tree encoding: tree $E$ on fixed alphabet, represents /
- MSO query on I translates to
$\rightarrow$ MSO query on $E$ by [Courcelle, 1990]
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## Our main result on treelike instances

## Theorem

For any fixed MSO query $q$ and $k \in \mathbb{N}$, for any input instance I of treewidth $\leq k$, we can build in linear time in I a provenance circuit of $q$ on $I$.

## Probability evaluation

Two alternate ways to see why probability evaluation is tractable on our provenance circuits:

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- They have bounded treewidth themselves
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## Corollary

Probabilistic query evaluation of MSO queries on treelike instances is in linear time up to arithmetic operations.

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## (1) Introduction

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(5) Lower bounds

6 Conclusion

Provenance semirings

- Semiring of positive Boolean functions $(\operatorname{PosBool}[X], \vee, \wedge, \mathfrak{f}, \mathfrak{t})$


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- The definitions match: all subinstances that satisfy the query
- For monotone queries, we can construct positive circuits


## Universal provenance

- Universal semiring of polynomials $(\mathbb{N}[X],+, \times, 0,1)$
$\rightarrow$ The provenance for $\mathbb{N}[X]$ can be specialized to any $K[X]$


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- Universal semiring of polynomials $(\mathbb{N}[X],+, \times, 0,1)$
$\rightarrow$ The provenance for $\mathbb{N}[X]$ can be specialized to any $K[X]$
- Captures many useful semirings:
- counting the number of matches of a query
- computing the security level of a query result
- computing the cost of a query result


## $\mathbb{N}[X]$-provenance example

|  | $\mathbf{R}$ |  |
| :---: | :---: | :---: |
| $a$ | $b$ | $x_{1}$ |
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$$
\begin{aligned}
& \left(x_{1} \times x_{2}\right)+\left(x_{3} \times x_{4}\right)+\left(x_{4} \times x_{3}\right)+\left(x_{5} \times x_{5}\right) \\
& \quad=x_{1} x_{2}+2 x_{3} x_{4}+x_{5}^{2}
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& \quad\left(x_{1} \wedge x_{2}\right) \vee\left(x_{3} \wedge x_{4}\right) \quad \vee x_{5} \\
& \rightarrow \\
& \left(x_{1} \times X\right] \text {-provenance: } \\
& \left.\quad=x_{2}\right)+\left(x_{3} \times x_{4}\right)+\left(x_{4} \times x_{3}\right)+\left(x_{5} \times x_{5}\right) \\
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How is $\mathbb{N}[X]$ more expressive than $\operatorname{PosBool}[X]$ ?
$\rightarrow$ Coefficients: counting multiple matches
$\rightarrow$ Exponents: using facts multiple times

## Capturing $\mathbb{N}[X]$-provenance

Our construction can be extended to $\mathbb{N}[X]$-provenance for conjunctive queries and unions of conjunctive queries (UCQ):

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Theorem
For any fixed UCQ q and k\in\mathbb{N}\mathrm{ ,}
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we can build in linear time a \mathbb{N}[X]-provenance circuit of q on I.
```


## Capturing $\mathbb{N}[X]$-provenance

Our construction can be extended to $\mathbb{N}[X]$-provenance for conjunctive queries and unions of conjunctive queries (UCQ):

```
Theorem
For any fixed UCQ q and \(k \in \mathbb{N}\), for any input instance I of treewidth \(\leq k\), we can build in linear time a \(\mathbb{N}[X]\)-provenance circuit of \(q\) on \(I\).
```

$\rightarrow$ What fails for MSO and Datalog?

- Unbounded maximal multiplicity of fact uses


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## Correlations

- Our probabilistic instances assume independence on all facts
$\rightarrow$ Not very expressive!


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$\rightarrow$ Not very expressive!
More expressive formalism: Block-Independent Disjoint instances:

| name | city | iso | $p$ |
| :---: | :---: | :---: | :---: |
| pods | san francisco | us | 0.8 |
| pods | los angeles | us | 0.2 |
| icalp | rome | it | 0.1 |
| icalp | florence | it | 0.9 |

## pc-tables

More generally, pc-tables to represent arbitrary correlations

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| date | teacher | room |  |
| :--- | :--- | :--- | :--- |
| 04 | John | C42 | $\neg x_{1}$ |
| 04 | Jane | C42 | $x_{1}$ |
| 11 | John | C017 | $x_{2} \wedge \neg x_{1}$ |
| 11 | Jane | C017 | $x_{2} \wedge x_{1}$ |
| 11 | John | C47 | $\neg x_{2} \wedge \neg x_{1}$ |
| 11 | Jane | C 47 | $\neg x_{2} \wedge x_{1}$ |

## pc-tables

More generally, pc-tables to represent arbitrary correlations

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| 11 | John | C017 | $x_{2} \wedge \neg x_{1}$ |
| 11 | Jane | C017 | $x_{2} \wedge x_{1}$ |
| 11 | John | C47 | $\neg x_{2} \wedge \neg x_{1}$ |
| 11 | Jane | C 47 | $\neg x_{2} \wedge x_{1}$ |

$x_{1}$ John gets sick
$\rightarrow$ Probability 0.1
$x_{2}$ Room C017 is available
$\rightarrow$ Probability 0.2

## Our results

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Probabilistic query evaluation of MSO queries on treelike pc-tables is in linear time up to arithmetic operations.
"Tree-like" refers to the underlying instance, adding facts to represent variable occurrences and co-occurrences

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## Lower bound goal

- Class $\mathcal{I}$ of unbounded-treewidth instances, query $q$ in class $\mathcal{Q}$.
- Show that probabilistic query evaluation of $q$ on $\mathcal{I}$ is hard


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$\rightarrow$ Impose that $\mathcal{I}$ is tw-constructible:
- Given $k \in \mathbb{N}$, we can construct in time $\operatorname{Poly}(k)$ an instance of $\mathcal{I}$ of treewidth $\geq k$
$\rightarrow$ Otherwise instances of treewidth $k$ in $\mathcal{I}$ could be very large... see [Makowsky and Marino, 2003]


## Our lower bound result

## Theorem

There is a first-order query $q$ such that
for any unbounded-tw, tw-constructible, arity-2 instance family $\mathcal{I}$, probabilistic query eval for $q$ on $\mathcal{I}$ is \#P-hard under $R P$ reductions.

## Idea: extracting topological minors

- Let $G$ be a planar graph of degree $\leq 3$

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- Let $G$ be a planar graph of degree $\leq 3$
- $G$ is a topological minor of $H$ if:

- Map vertices to vertices
- Map edges to vertex-disjoint paths


## Topological minor extraction results

Theorem ([Robertson and Seymour, 1986])
For any planar graph $G$ of degree $\leq 3$,
for any graph $H$ of sufficiently high treewidth,
$G$ is a topological minor of $H$.

## Topological minor extraction results


#### Abstract

Theorem ([Robertson and Seymour, 1986]) For any planar graph $G$ of degree $\leq 3$, for any graph $H$ of sufficiently high treewidth, $G$ is a topological minor of $H$.


More recently:

## Theorem ([Chekuri and Chuzhoy, 2014])

There is a certain constant $c \in \mathbb{N}$ such that for any planar graph $G$ of degree $\leq 3$, for any graph $H$ of treewidth $\geq|G|^{c}$,
$G$ is a topological minor of H and we can embed $G$ in $H$ (with high probability) in PTIME in $|H|$.

## Intuition for our result: reduction

- Choose a problem from which to reduce:
- Must be \#P-hard on planar degree-3 graphs
- Must be encodable to an FO query $q$ (more later)
$\rightarrow$ We use the problem of counting matchings


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- Compute in PTIME an instance $/$ of $\mathcal{I}$ of treewidth $\geq k$
- Compute in randomized PTIME an embedding of $G$ in $I$
- Construct a probability valuation $\pi$ of $I$ such that:
- Unneccessary edges of I are removed
- Probability eval for $q$ gives the answer to the hard problem


## Technical issue



- In the embedding, edges of $G$ can become long paths in I
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## Technical issue



- In the embedding, edges of $G$ can become long paths in I
- $q$ must answer the hard problem on $G$ despite subdivisions
$\rightarrow$ Our $q$ restricts to a subset of the worlds of known weight and gives the right answer up to renormalization
$\rightarrow$ For non-probabilistic evaluation, using FO does not work [Frick and Grohe, 2001]
$\rightarrow$ Lower bounds for non-probabilistic evaluation are for MSO [Ganian et al., 2014]


## Can we do better?

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$\rightarrow$ This UCQ with inequalities is hard in a weaker sense (no polynomial-size OBDD representations of provenance)
$\rightarrow$ We don't know whether it's \#P-hard (because of subdivisions)

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Lower. PQE for FO on any tw-constructible, arity-2, unbounded-tw instance family is \#P-hard under RP reductions
$\rightarrow$ Bounded treewidth is the right notion for tractability of PQE?

## Future work (upper bound)

Two promising directions:

- Restricting both instances and queries
- Hard query on unbounded-treewidth instances may be easy!
- Query-specific tree decomposition or instance simplification?
- Tractability criterion based on the instance and query?
- Understand the connection to the query-based dichotomy?


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- Hard query on unbounded-treewidth instances may be easy!
- Query-specific tree decomposition or instance simplification?
- Tractability criterion based on the instance and query?
- Understand the connection to the query-based dichotomy?
- Combined complexity: tractability in the query and data
- Cost in the MSO query is nonelementary in general
- Lower for some query languages? (... on some instances?)
- Monadic Datalog approaches? [Gottlob et al., 2010]


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- Can we show \#P-hardness under usual P reductions?
$\rightarrow$ Depends on [Chekuri and Chuzhoy, 2014]


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Thanks for your attention!

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## Encoding treelike instances [Chaudhuri and Vardi, 1992]

Instance:


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Instance: Gaifman graph:


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